



**University of Kerbala  
College of Science  
Department of Chemistry**

**Synthesis and theoretical study of new imidazole  
derivatives dyes and their application in dye  
sensitized solar cells**

**A Thesis**

**Submitted to the council of the College of Science/**

**University of Kerbala**

**In partial Fulfillment of the requirements for the Degree of Master  
of Science in Chemistry**

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**2022 A.D**

**1443 A.H**

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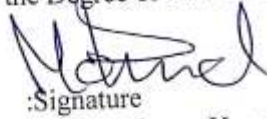
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بِسْمِ اللَّهِ الرَّحْمَنِ الرَّحِيمِ

نَرْفَعُ دَرَجَاتٍ مِّنْ نَّشَأٍ<sup>ق</sup> وَفَوْقَ كُلِّ  
ذِي عِلْمٍ عَلِيمٌ

صدق الله العلي العظيم

سورة يوسف: الآية (76)

# *Dedication*

*To*

*Fatima al-Zahra, her father,  
her husband and her sons,  
peace be upon them*

## *Acknowledgments*

In the beginning, I would like to thank Allah for its blessings...

I would like to thank those who sponsored me as a master's student, and for preparing this research, my esteemed professor and supervisors, **Prof. Dr. Haitham Dalol Hanoon** and **Assist.Prof. Dr. Saifaldeen**

**Muwafag Abdulhadi.** Those who have the credit - after God Almighty - for research and researcher since the topic was a title and an idea until it became a thesis and research. They have all my thanks, appreciation and gratitude.

I also extend my heartfelt thanks whoever help me from the teaching staff at the University of Kerbala, College of science, Department of chemistry.

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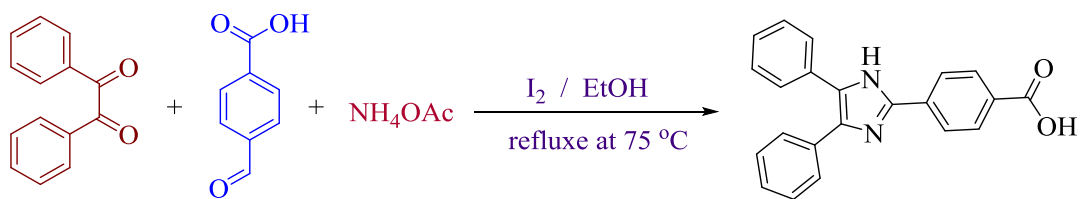
*Saifaldeen*

## *Abstract*

In this work, six free metal-organic dyes were designed and synthesized as the donor- $\pi$  bridge-acceptor system in a one-pot condensation reaction and used as a sensitizer in DSSCs. The dyes that were recorded are imidazole derivatives, which are of two types. Type I are basically 2,4,5-trisubstituted imidazole derivatives (Scheme I) and the type II of dyes are 1,2,4,5-tetrasubstituted imidazole derivatives (Scheme II).

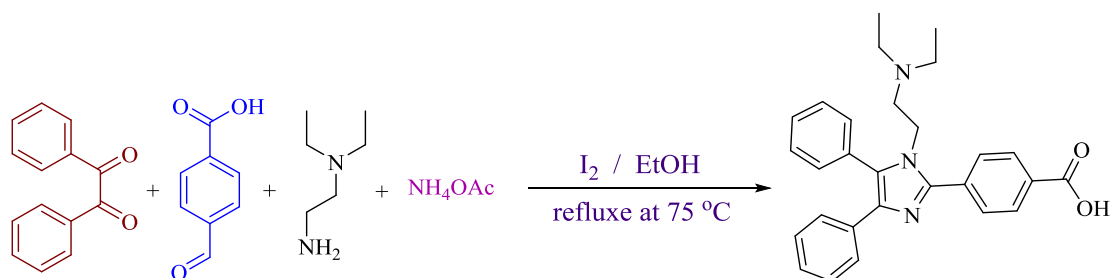
The first type is PP1 and the second type are containing five different substituents on (N-imidazole) ring such as (nitrobenzene (PP2), *N,N*-diethylpropan-1-amine (PP3), phenyl (PP4), chlorobenzene (PP5) and dichlorobenzene (PP6)) with investigation the effect of these substituents in the efficiency of the synthesized compounds as a DSSCs. Benzene and imidazole units were chosen as donor groups, for their electron-donating character and to avoid dye aggregation on the TiO<sub>2</sub> surface. Basically, 2,4,5-trisubstituted imidazole units were used to comparative study of the performance of the sensitizers in the final devices. Benzene ring was chosen as the termination of the  $\pi$ -bridge. Carboxylic acid was chosen as both acceptor and anchor group on the TiO<sub>2</sub> surface. All these dyes are characterized by FTIR, <sup>1</sup>H-NMR, <sup>13</sup>C-NMR, Mass spectra and supported by computational calculation. The dye PP3 with the alkyl chain substitution displayed the highest power conversion efficiency (PCE) of 2.01% ( $J_{sc} = 3.75 \text{ mA cm}^{-2}$ ,  $V_{oc} = 0.73 \text{ mV}$ ,  $FF = 73.9\%$ ) while the PP2 dye with the nitrobenzene substitution showed the lowest energy gap ( $E_g = 2.55 \text{ eV}$ ) and lowest PCE 0.96% ( $J_{sc} = 1.59 \text{ mA cm}^{-2}$ ,  $V_{oc} = 0.080 \text{ mV}$ ,  $FF = 61.6\%$ ).



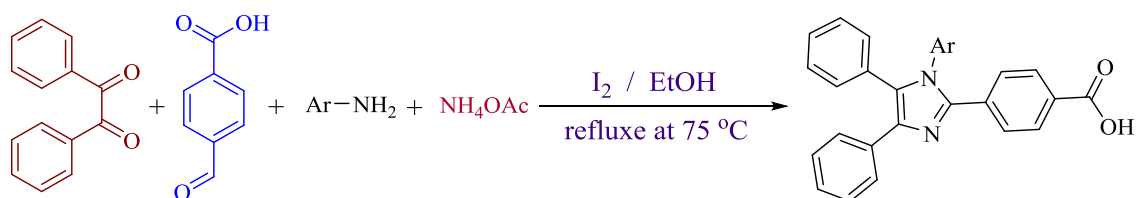


**PP1**

**Scheme I:** Synthetic route for 2,4,5-trisubstituted imidazole derivatives



**PP3**



**PP2, PP4, PP5 and PP6**

Where **Ar** = c1ccc(cc1)[N+](=O)[O-] (**PP2**), c1ccccc1 (**PP4**), c1ccc(Cl)cc1 (**PP5**), c1cc(Cl)cc(Cl)c1 (**PP6**)

**Scheme II:** Synthetic route for 1,2,4,5- tetrasubstituted imidazole derivatives

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## Abbreviations

| Symbol                   | Definition                                    |
|--------------------------|---|
| <i>A</i>                 | Absorption                                    |
| a-Si                     | Amorphous Silicon Thin Film Solar Cell        |
| b.p.                     | Boiling point                                 |
| CdTe                     | Cadmium Telluride Thin Film Solar Cell        |
| <sup>13</sup> C-NMR      | Carbon Nuclear Magnetic Resonance             |
| <i>c</i>                 | Concentration                                 |
| CB                       | Conduction band                               |
| CIGS                     | Copper Indium Gallium Di-Selenide Solar Cells |
| DFT                      | Density functional theory                     |
| DMSO                     | Dimethyl sulfoxide                            |
| D- $\pi$ -A              | Donor- $\pi$ -bridge-Acceptor                 |
| d                        | doublet                                       |
| D                        | Dye   |
| D*                       | Photoexcited dye                              |
| DSSCs                    | Dye sensitized solar cells                    |
| $\eta$                   | Efficiency                                    |
| $E_g$                    | Energy gap                                    |
| <i>E<sub>g opt</sub></i> | Optical energy gap                            |
| EtOH                     | Ethanol                                       |
| $\epsilon$               | Extinction coefficient                        |
| <i>FF</i>                | Fill factor                                   |
| FTO                      | Fluorine-doped Tin Oxide                      |
| FTIR                     | Fourier Transform Infrared                    |
| <sup>1</sup> H-NMR       | Proton Nuclear Magnetic Resonance             |
| HOMO                     | Highest occupied molecular orbital            |
| $I_o$                    | Total incident irradiance                     |
| ITO                      | Indium tin oxide                              |
| <i>J<sub>max</sub></i>   | Maximum power point current                   |
| <i>J<sub>sc</sub></i>    | Short circuit current                         |
| <i>J</i>                 | Coupling constant                             |
| LUMO                     | Lowest unoccupied molecular orbital           |
| <i>l</i>                 | Pathlength                                    |
| MS                       | Mass spectrometry                             |
| m.p.                     | Melting point                                 |

|           |                                      |
|-----------|--------------------------------------|
| NREL      | National Renewable Energy Laboratory |
| PCE       | Power conversion efficiency          |
| PV        | Photovoltaic                         |
| PVC       | Photovoltaic cell                    |
| Ref.      | References                           |
| SMO       | Semiconducting metal oxide           |
| s         | singlet                              |
| SLS       | Sodium lauryl sulfate                |
| THF       | Tetrahydrofuran                      |
| TLC       | Thin Layer Chromatography            |
| TCO       | Transparent conducting oxide         |
| t         | triplet                              |
| $\nu$     | Wavenumber                           |
| $V_{max}$ | Maximum power point voltage          |
| $V_{oc}$  | Open circuit voltage                 |
| USA       | United states of America             |
| UV        | Ultraviolet                          |



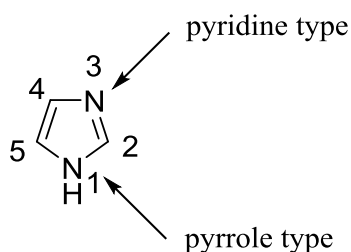
# Chapter one

## Introduction

## 1.1 Imidazole

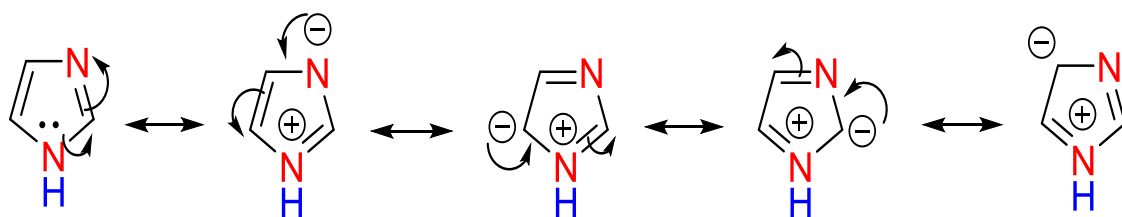
### 1.1.1 Structure

Imidazole is a five-membered heterocyclic molecule that contains three carbon atoms and two nitrogen atoms at positions 1 and 3 and usually referred as 1,3-diazole. The nitrogen atom at position 1 is pyrrole-type nitrogen because it contains a hydrogen atom. The second nitrogen atom at position 3 is analogous to the nitrogen atom in pyridine and is hence referred to as pyridine-type nitrogen. (Fig.1-1)



**Figure 1-1:** Structure of imidazole

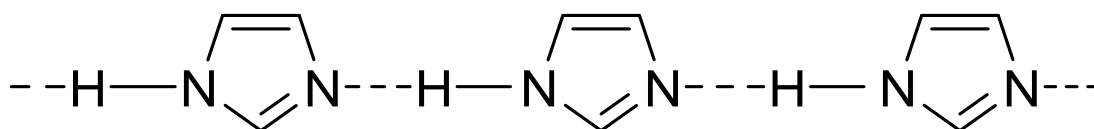
Imidazole has a structure that combines the structural characteristics of pyrrole and pyridine. Three carbon atoms and the pyridine-type nitrogen each donate one electron to the aromatic sextet, whereas the pyrrole-type nitrogen contributes two electrons. Imidazole is a heterocyclic aromatic compound with resonance energy of 59 kJ/mol and is thought to be a resonance hybrid of the following resonating structures (Fig. 1-2) [1].



**Figure 1-2:** Resonance structures of imidazole

### 1.1.2 Physical properties

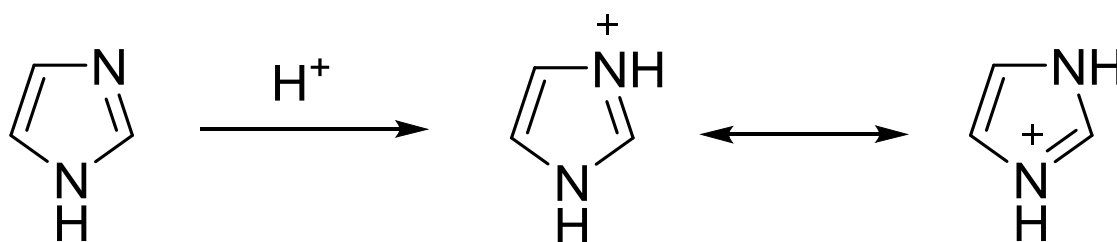
Imidazole is a colorless crystal with a melting point of 90°C and a boiling point of 256°C. It is soluble in water and most of the polar solvents. Imidazole has a comparatively high boiling point (b.p. 256°C) when compared to other five-membered heterocyclic systems. The increased boiling point of imidazole is due to the presence of intermolecular hydrogen bonds of the type NH-N (Fig. 1-3). Imidazole, such as water, is an excellent giver of hydrogen bonds and an excellent acceptor; the imine nitrogen provides an electron pair and the N- hydrogen accepts them. Imidazoles have an extraordinarily high thermal stability. Above 500°C, imidazole decomposes [2].



**Figure 1-3:** Hydrogen bonding in imidazole[47]

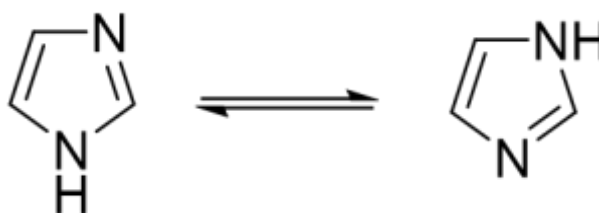
### 1.1.3 Chemical properties

Imidazole is considered a strong base, if compared to other heterocyclic amines such as pyrrole, pyridine, or pyrazole. Pyrazine and imidazole are more stable in acidic media than other cyclic amines. Pyrazine and imidazole both contain two nitrogen atoms and when they are protonated, the positive charge associated with them is delocalized. However, imidazole is a stronger base than pyrazole due to the fact that the pyrazolium ion has a positive charge that is less delocalized than the imidazolium ion (Fig.1-4). Imidazole can undergo acid-base reaction due to the fact that imidazole is a mono acidic base; it has weak acid characteristics and produces acids with crystalline salts [3].



**Figure 1-4:** Imidazole has Weak Acid Properties[47]

Because the hydrogen atom may be positioned on any of the two nitrogen atoms, imidazole exists in two equivalent tautomeric forms (Fig.1-5). As indicated by its predicted dipole moment of 3.61 D, imidazole is a strongly polar molecule amphoteric and has a pKa of 14.5 [4].



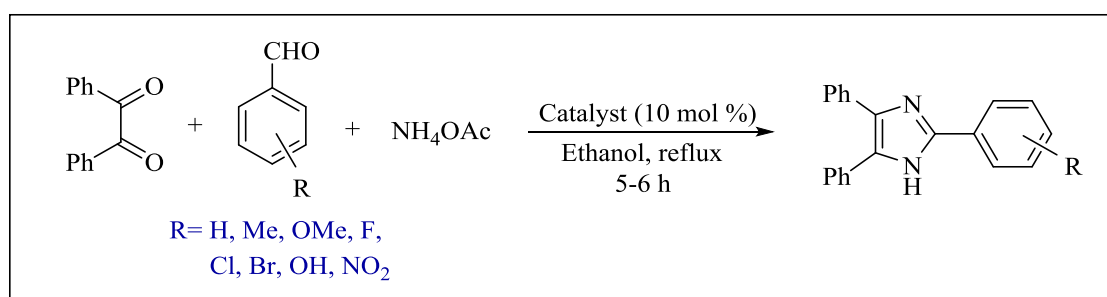
**Figure 1-5:** Tautomerism in imidazoles.

The imidazole contains two distinct forms of electrons lone-pair. One of them is on pyrrolic nitrogen, which has been delocalized and so becomes acidic. Another is on the basic pyridinic type of N, which has a non-Huckle-lone pair. As a result of their distinct pKa values (7.0 and 14.9), in nature imidazole is amphoteric. Any modification at the pyrrolic position may improve its basicity, hence lessening the difference in the pKa values of the two nitrogen positions in the imidazole moiety, which aids in better electron delocalization in the aromatic ring [5,6].

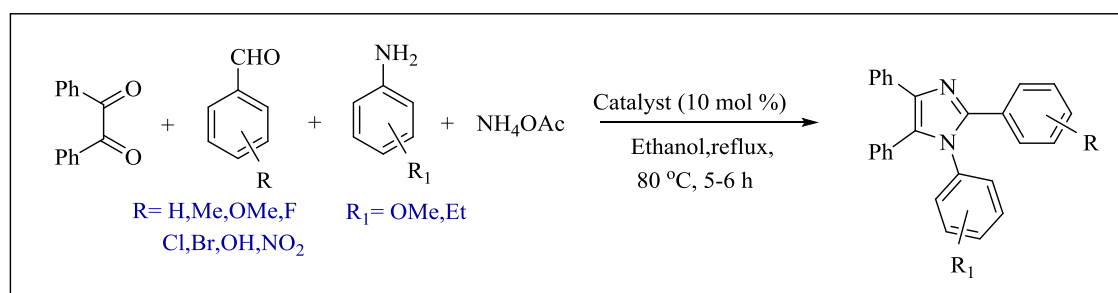
### 1.1.4 Synthesis of Imidazole Derivatives

There are many synthetic ways to produce the imidazole and the synthetic approaches are described below [7]:

M. Waheed and co-worker described a unique technique for the synthesis of 2,4,5-trisubstituted and 1,2,4,5-tetrasubstituted imidazole derivatives using a novel organocatalyst based on dihydroquinoline as cost-effective and ecologically friendly organocatalyst. The synthesis of 2,4,5-trisubstituted (Equation 1-1) and 1,2,4,5-tetrasubstituted (Equation 1-2) imidazole derivatives using this process were not need column chromatography. The reaction proces was very simple and pure, allowing for straightforward work-up and purification of products with no detected side products [8].



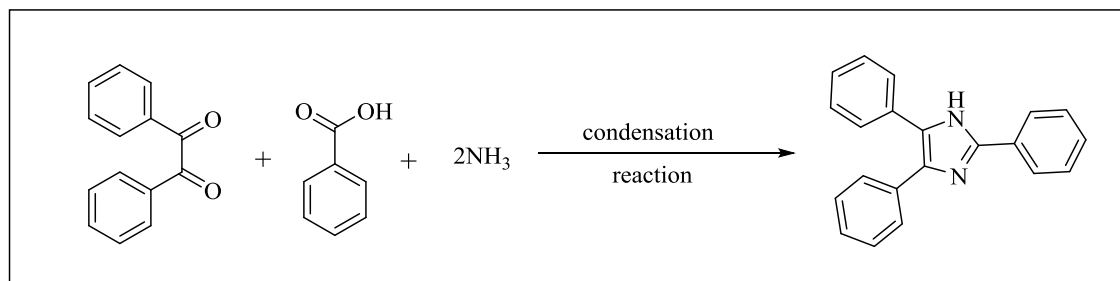
**Equation 1-1:** Synthesis of 2,4,5-trisubstituted imidazole derivatives



**Equation 1-2:** Synthetic route of 1,2,4,5-tetrasubstituted imidazole derivatives

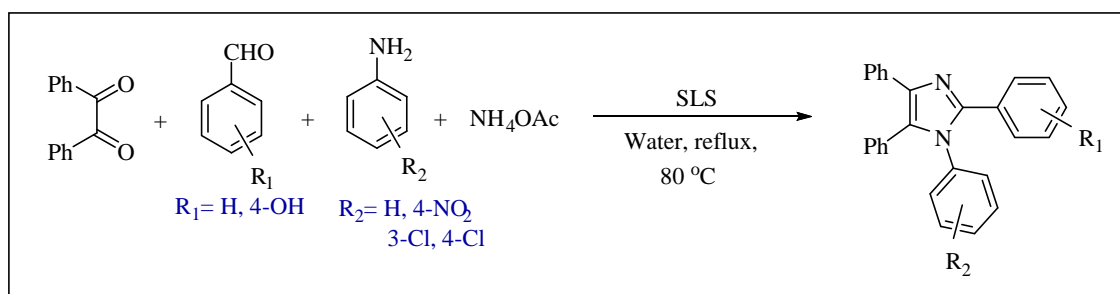
Radiszewski reaction was usually used to prepare a imidazole drevitaves by using dicarbonyl chemical such as glyoxal, keto aldehyde, or diketones with an aldehyde in the presence of ammonia, for example, benzyl,

with benzaldehyde and ammonia results in the formation of 2,4,5-triphenylimidazole. Frequently, formamide is used instead of ammonia (Equation 1-3) [4,7].

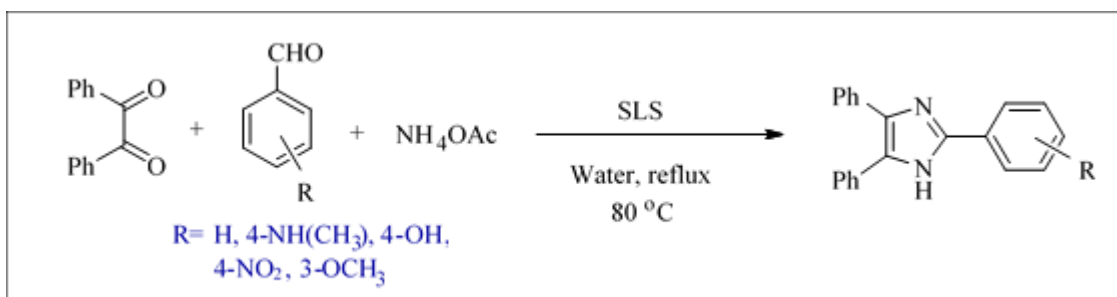


**Equation 1-3:** Formation of 2,4,5-triphenylimidazole.

R. Bansal and co-workers synthesized 1,2,4,5-tetrasubstituted (Equation 1-4) and 2,4,5-trisubstituted imidazole derivatives (Equation 1-5) through one-pot multicomponent processes at 80°C using water as solvent. This technique has many benefits, including a metal-free reaction, non-chromatographic purification of products, and outstanding yields [9].

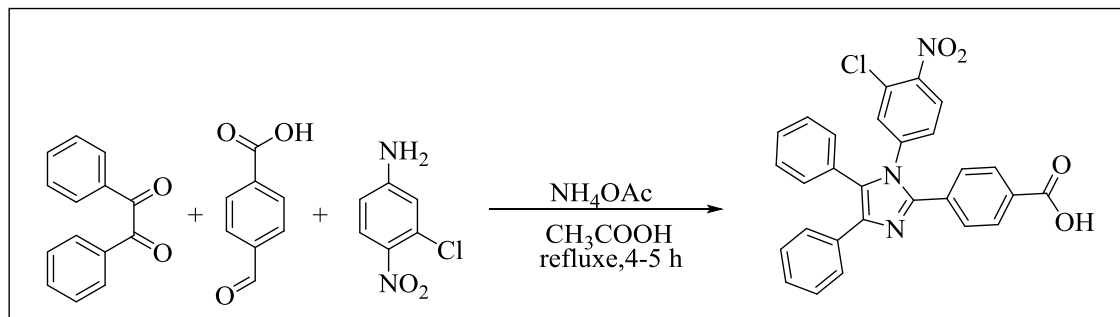


**Equation 1-4:** Synthetic route of 1,2,4,5-tetrasubstituted imidazole derivatives.



**Equation 1-5:** Synthesis of 2,4,5-trisubstituted imidazole derivatives.

S. Walki and co-workers synthesized 4-(1-(3-chloro-4-nitrophenyl)-4,5-diphenyl-1*H*-imidazol-2-yl)benzoic acid *via* a one-pot by condensation reaction for 4-5 hrs. with good yields (70-80%) (Equation 1-6) [10].



**Equation 1-6:** Synthetic route of 1,2,4,5-tetrasubstituted imidazole derivatives.

### 1.1.5 Imidazole Derivatives in DSSCs

Due to the favorable properties of imidazole, researchers have recently brought it into organic light-emitting diode and DSSC applications. According to previous studies, putting electron donors into the 4,5-position of imidazole and an electron acceptor into the 2-position, allows for the formation of conjugated dipolar sensitizers while also enhancing their light-harvesting capability [11]. Additionally, by reducing the positive charge density at the donor group through electronic delocalization of the two substituents in the imidazolyl ring's 4,5-position, charge recombination after electron injection may be decreased [12].

Charge recombination may be slowed after electron injection due to the reduced positive charge density at the donor caused by the electronic delocalization of the two substituents at positions 4 and 5 of the imidazolyl ring [13-15].

In 2007, M. S. Tsai and co-workers reported that the effectiveness of DSSC was 4.68 percent when they used 3-(4-(6,9-Bis(4-(diphenylamino)phenyl)-1*H*-phenanthro-[9,10-d]imidazol-2-yl)phenyl)-2-cyano-acrylic acid (**DPPPIPC**) is a molecule in which the electron-donating

1H-phenanthro[9,10-d]imidazole and the electron-accepting 2-cyano-acrylic acid are connected by conjugated spacers. Incorporation of arylamine moieties at 1H-phenanthro[9,10-d]imidazole was found to retard the charge recombination of the electrons in the conduction band of TiO<sub>2</sub> with the oxidized dyes, possibly through a series of charge separations [16].

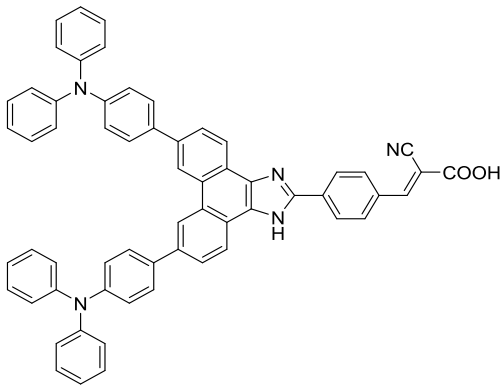
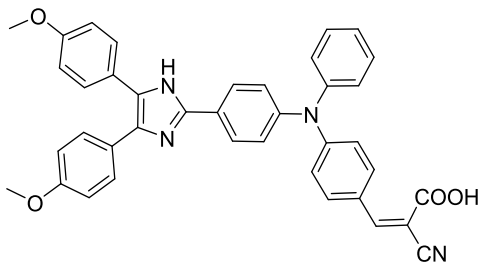
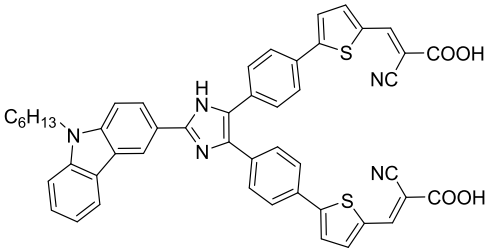
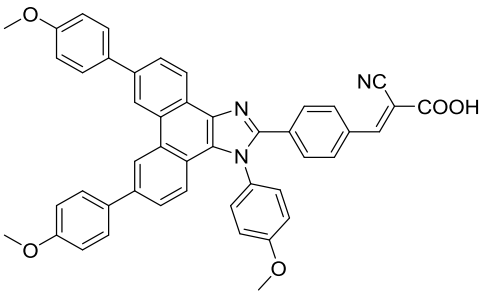
In 2014, X. Chen and coworkers reported a 4.11 percent efficiency for DSSC when synthesizing imidazole derivatives using triphenylamine and 2-cyanoacetic acid as acceptor groups and the imidazole unit as the imidazole unit as  $\pi$ -bridge to prepare new 2D- $\pi$ -A dye 3-(4-((4-(4,5-bis(4-methoxyphenyl)-1H-imidazol-2-yl)phenyl)(phenyl)amino)phenyl)-2-cyanoacrylic acid coded as (**CD-4**) by heated to reflux under a nitrogen atmosphere for 10 h, this dye which exhibited a  $J_{sc}$  of 8.60 mA cm<sup>-2</sup>,  $V_{oc}$  of 0.63 V and ff of 0.75 [17].

In 2015, Y. S. Yen and co-worker achieved the highest cell efficiency of 4.97 percent by synthesizing and using a new imidazole-based organic dye 3,3-([2-(9-Hexyl-9H-carbazol-3-yl)-1H-cyanoacrylic Acid) (**TL-5**) with two 2-cyanoacetic acid acceptors/anchors connected at the C-4 and C-5 positions, and a carbazole donor connected at. (**TL-5**) exhibited a  $J_{sc}$  of 11.11 mA cm<sup>-2</sup>,  $V_{oc}$  of 0.62 V and ff of 0.72 [18].

In 2020, J. Sivanadanam and co-workers synthesized the dye 2-cyano-3-yl)phenyl)acrylic acid (**4**). They incorporated the imidazole moiety as a  $\pi$ -bridge and achieved the maximum power conversion efficiency (PCE) of 7.16 percent, dye 4 having phenanthrene donor and anisole ancillary donor showed maximum power conversion efficiency (PCE) of 7.16% ( $J_{sc} = 13.07$  mA/cm<sup>2</sup>,  $V_{oc} = 0.831$  V, FF = 0.659) [5]. The efficacy of various dyes in DSSCs is shown in Table (1-1).



Table 1-1: The efficiency of DSSCs using the dyes

| Code of dye   | Structure   | Efficiency $\eta\%$ | Ref  |
|---|---|---------------------|------|
| <b>DPPPIPC</b>  |  <p>The structure of DPPPIPC dye features a central benzimidazole ring system. It is substituted with two diphenylamino groups at the 2 and 6 positions of the benzimidazole ring. At the 5 position, it is connected to a phenyl ring, which is further linked to a cyanoacrylic acid group (-CH=CH-CN-COOH).</p>  | 4.68                | [17] |
| <b>CD-4</b>   |  <p>The structure of CD-4 dye consists of a benzimidazole core. The 2-position is substituted with a 4-methoxyphenyl group, and the 6-position is substituted with another 4-methoxyphenyl group. The 5-position is linked to a diphenylamino group, which is further connected to a cyanoacrylic acid group (-CH=CH-CN-COOH).</p>   | 4.11                | [18] |
| <b>TL-5</b>   |  <p>The structure of TL-5 dye features a benzimidazole core. The 2-position is substituted with a phenyl ring bearing a C<sub>6</sub>H<sub>13</sub> group. The 6-position is substituted with a phenyl ring. The 5-position is linked to a thiophene ring, which is further connected to a cyanoacrylic acid group (-CH=CH-CN-COOH). There are two such thiophene-cyanoacrylic acid units attached to the benzimidazole core.</p> | 4.97                | [19] |
| <b>2-cyano-3-(4-methoxyphenyl)phenyl)acrylic acid</b> |  <p>The structure of this dye features a benzimidazole core. The 2-position is substituted with a 4-methoxyphenyl group, and the 6-position is substituted with another 4-methoxyphenyl group. The 5-position is linked to a phenyl ring, which is further connected to a cyanoacrylic acid group (-CH=CH-CN-COOH).</p>   | 7.16                | [6]  |

## **1.2. Solar Energy**

The Pollution and impressive energy consumption are one of the most critical and greatest challenges of the global today. The major problems of these challenges are related with the health effect, environmental impact, and limitation of resources. For these reasons, the growing global interest in finding alternative energy sources is increased through the last two decade. The supply of clean sustainable energy is considered as one of the most important scientific and technical challenges facing humanity in the 21<sup>st</sup> century. Renewable energy sources include wind, tidal, thermal, and, most notably, solar cells. These sources have the potential to significantly decrease pollution and its associated consequences on the climate [20].

Generally, the amount of solar energy received by the Earth in a single hour is more than the total energy used by the whole globe in a single year [21] and the solar energy alone has the potential to fulfil the planet's energy needs in the near future. Solar photovoltaic (PV) technology is the most frequently deployed solar energy today which are powered by light, operate at near-ambient temperatures and have no moving components [22] as well as solar energy is an infinite source of energy that is completely free. [23]

## **1.3. Historical background of the solar cells**

The first description of photovoltaic was started in 1839, when the photovoltaic effect was discovered by French physicist Edmond Becquerel through the electrolytic cell. In 1876, the first tests using selenium-based solid-state photocells were conducted in London by Adam and Day. The earliest solar photocells with an efficiency of somewhat more than 1% took more than half a century to build [24]. Solar cells and photovoltaic (PV) modules have been the subject of intense research since the 1950s, when the

first Si-based p/n junction capable of converting sunlight to electrical energy was created. After that, solar photovoltaics entered the modern age in 1954, when Daryl Chapin, Calvin Fuller, and Gerald Pearson of Bell Telephone Laboratories in the United States of America produced the first silicon-based photovoltaic device; initially named a solar battery, the device is now called a solar cell [25]. This device made use of the fundamental p-n junction concepts discovered in the early 1950s with initial energy conversion efficiency of 6% [26]. However, it had increased to 11% by 1957 [27] and 14% by 1960 [28]. Since 1980, the National Renewable Energy Laboratory (NREL, USA) has published an efficiency table for several kinds of solar cells (Fig. 1-6)

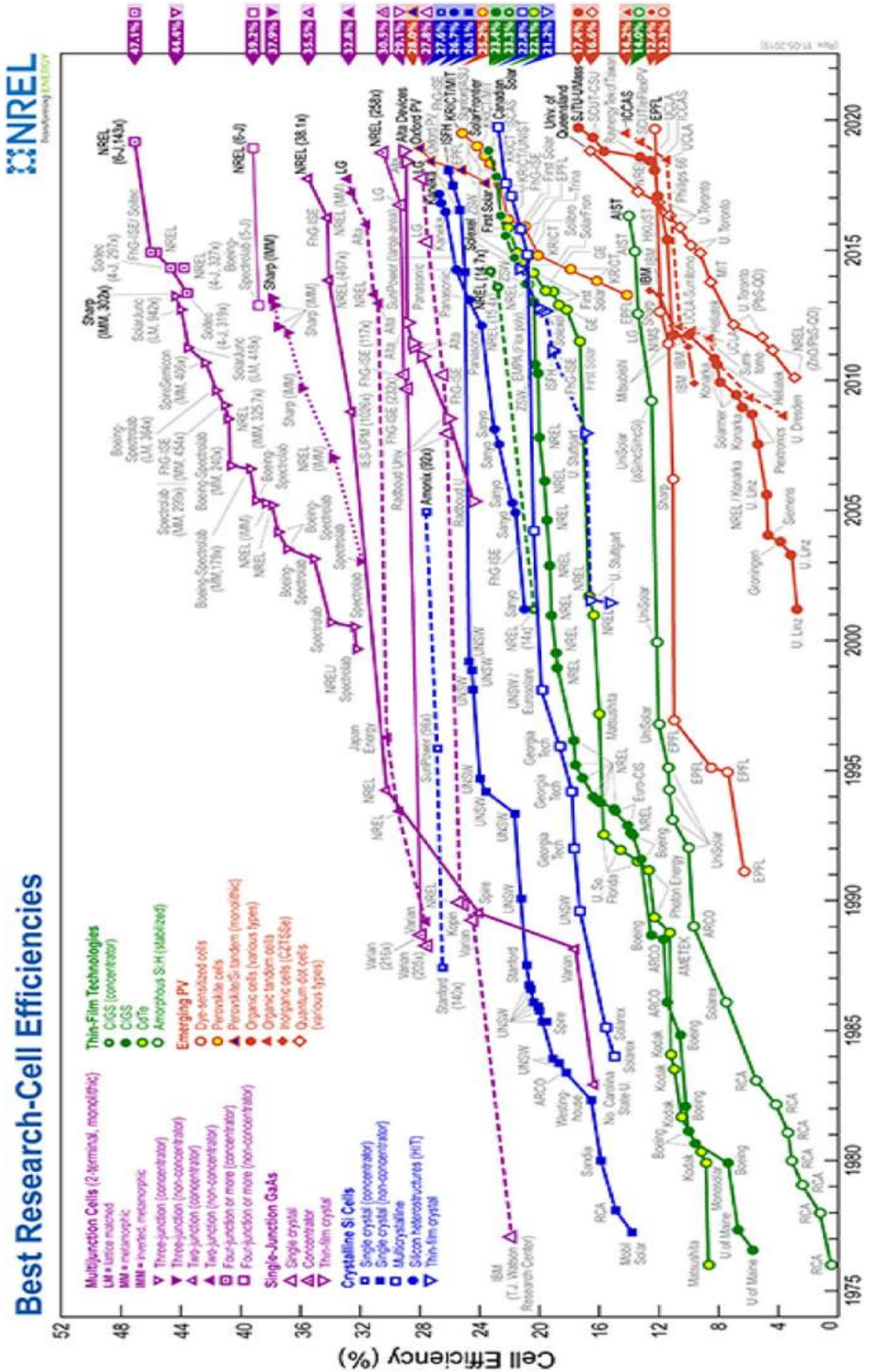


Figure 1-6: Annual efficiency of various photovoltaic solar cells [29].

PV has developed into a variety of uses, including public utility buildings, solar farms, concentrated solar power systems, street lighting, and floating systems. Whereas other kinds of renewable energy have struggled to gain market adoption, rooftop photovoltaic systems have thrived in the residential sector [30].

## **1.4. Classification of solar cells**

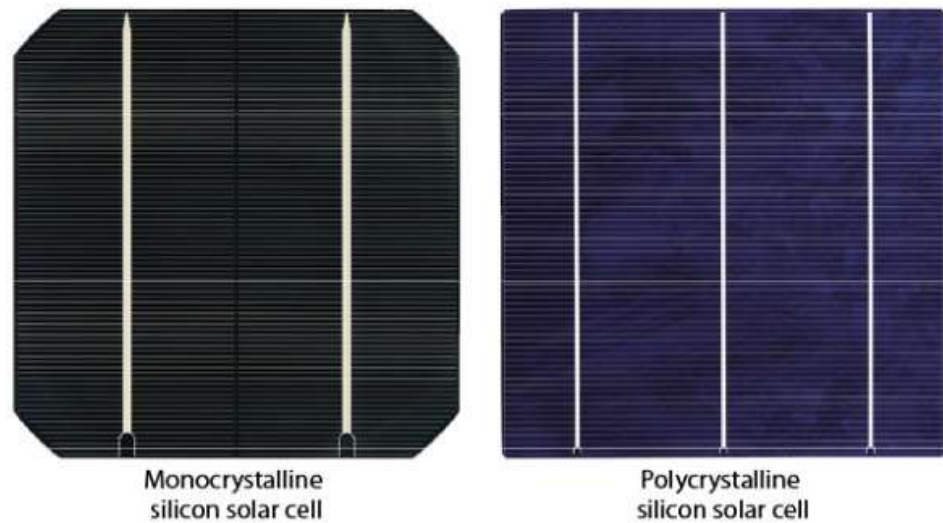
Solar PVC technologies have evolved through three generations; based on the manufacturing process and light-absorbing substance employed, they may be further classified into the following categories:

- Crystalline Silicon (Wafer based)
- Thin Film
- Emerging thin films

### **1.4.1 First-Generation-Wafer based Solar Cells**

As previously stated, the first generation of solar cells are fabricated on silicon wafers. It is the most widely and used oldest technology because to its high energy efficiency ~27%. The silicon wafer-based technology is further subdivided into two subcategories, indicated by the abbreviations (Fig. 1-7) [31-33].

- Single/ Mono-crystalline silicon solar cell.
- Poly/Multi-crystalline silicon solar cell.



**Figure 1-7:** Monocrystalline and polycrystalline silicon solar cell

### 1.4.2 Second Generation - Thin Film Solar Cells

The majority of thin film and a-Si solar cells are second generation solar cells that are more cost effective than first generation silicon wafer solar cells. The light-absorbing layers of silicon wafer solar cells up to 350  $\mu\text{m}$  thick, while thin-film solar cells have light-absorbing layers that are typically less than silicon wafer solar cells thick [32,34]. Thin film solar cells are classified into the following categories (Fig. 1-8):

- A-Si (Amorphous Silicon Thin Film Solar Cell).
- CdTe (Cadmium Telluride Thin Film Solar Cell).
- CIGS (Copper Indium Gallium Di-Selenide Solar Cells).



**Figure 1-8:** Copper Indium Gallium Selenide Solar Cells Module Market

### **1.4.3 Third Generation- Emerging Thin Films**

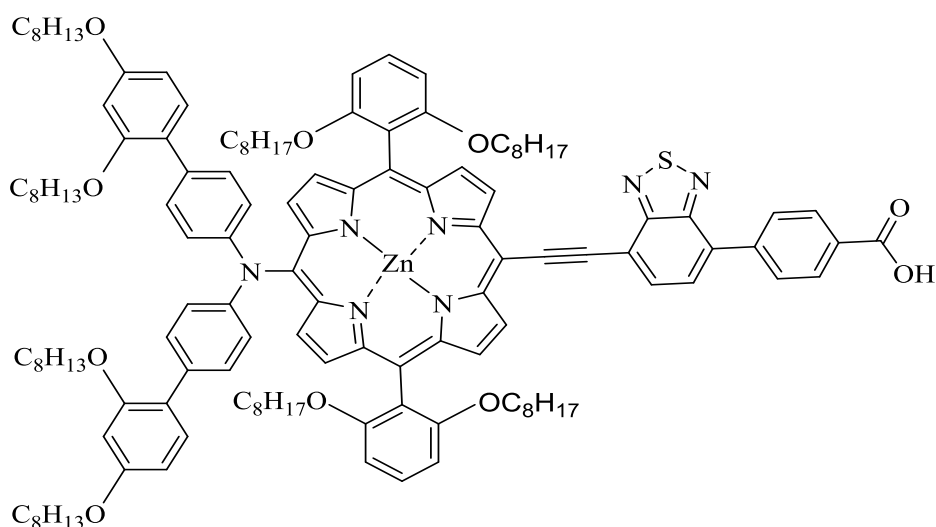
Third generation cells are emerging as potential technology, these solar cells are designed to combine between both first and second solar cells. The majority of developed third generation solar cell types are as follows [32,35]:

- Nano crystal based solar cells.
- Polymer based solar cells.
- Dye sensitized solar cells.
- Concentrated solar cells.

Among solar cell technologies, dye-sensitized solar cells (DSSCs) have gained considerable attention owing to their simplicity of manufacturing and ability to achieve modest power conversion efficiency (PCE) [36].

### **1.5. Dye Sensitized Solar Cells (DSSCs)**

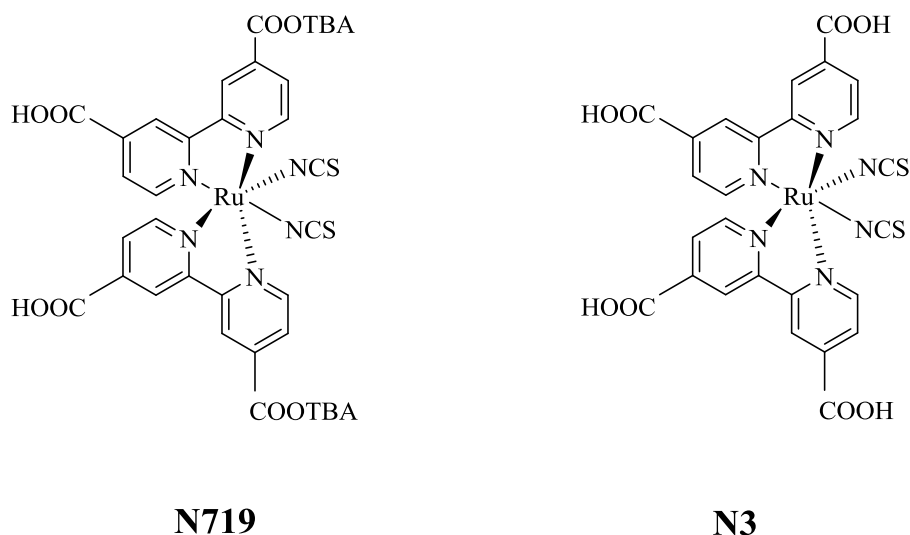
Gratzel and his colleagues investigated a new type of solar cell which called DSSCs and was dubbed the Gratzel Cell in honor of its discoverer. In 1991, O'Regan and Gratzel were the first to combine dye based on Ru with  $\text{TiO}_2$  and reported as a power conversion efficiency 7.1%. Gratzel's group also investigated the cobalt-based electrolyte with a donor-acceptor design, using zinc porphyrin as a sensitizer, and saw an increase in efficiency to 13% in 2014 (Fig. 1-9) [37,38].



**Figure 1-9:** Structure of Zinc Porphyrin

Natural and synthetic dyes are employed in the DSSCs, while synthetic dyes are further classified as metal–organic complex and metal-free organic dyes [39]. Metal–organic dyes, such as *cis*-[Ru (2,2'-bipyridil-4,4'-dicarboxylic acid)<sub>2</sub>(NCS)<sub>2</sub>] coded (N3) and (Bu<sub>4</sub>N)<sub>2</sub>[Ru(2,2'-bypiridil-4,4'-dicarboxylic acid)<sub>2</sub>(NCS)<sub>2</sub>] coded (N719) (Fig. 1-10) are widely used as standard dyes with highest PCEs of 11% and 12%, respectively, that have strong absorption of visible light, chemical nature of light conversion, and stability of excitation states across the full visible light spectrum [40]. On the other hand, metal–organic dyes are hazardous due to the presence of heavy precious metals and are damaging to the environment. Additionally, Ru complex dyes are difficult to produce and costly, which makes them unsuitable for commercialization of DSSCs. As a result, metal-free organic dyes are used instead of metal–organic complex as a sensitizer in the manufacture of DSSCs. Metal-free organic dyes is more affordable and simple to synthesize, however the achieved efficiency is still less than 10% [41].





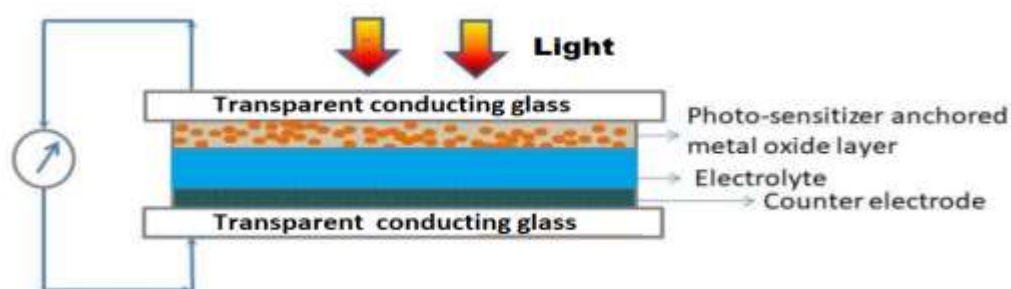
**Figure 1-10** Structure of N3 and N719

### 1.5.1 Advantages of DSSCs

- 1-The materials used to make DSSCs are non-toxic and plentiful.
- 2- Economical and readily accessible.
- 3- Environmentally beneficial, since there are no pollutants or noise.
- 4- Flexibility and portability [42-44].

### 1.5.2 Materials in DSSCs

A schematic representation the main parts of configuration of a typical dye sensitized solar cell is shown in (Fig.1-11). Typically, a DSSC consists of four components: a photoanode, a photosensitizer (dye), an electrolyte, and a counter electrode. The next sections cover the general characteristics of these components.



**Figure 1-11:** Schematic Configuration of a DSSC[45].

### 1.5.2.1 Photoanode

Photoanode are made from transparent conducting glass substrates which are coated by TiO<sub>2</sub> or ZnO as semiconducting metal oxides (SMOs). Photosensitizer is subsequently adsorbed onto the metal-oxide layer [44]. The transparent conducting glass is used as conductive substrates such as Indium tin oxide (ITO) and Fluorine-doped Tin Oxide (FTO). The properties of transparent conducting glass are represented by the following points.

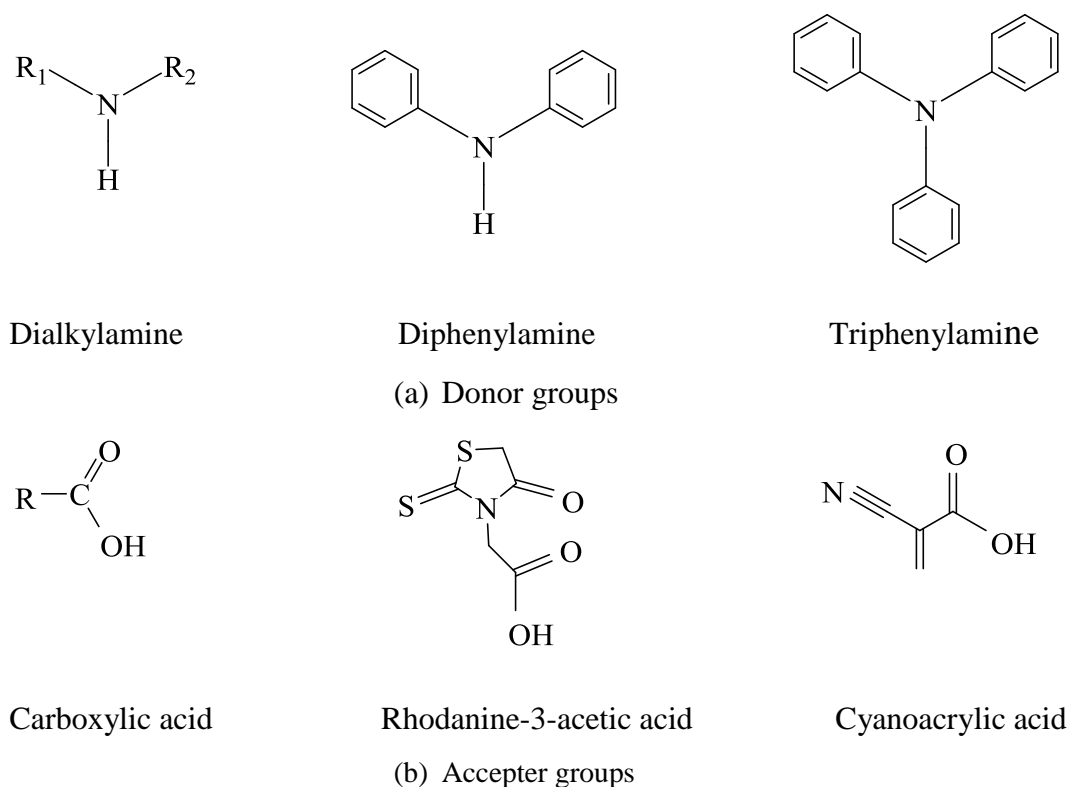
- a) The substrate should be transparent to more than 80% of the light impinging on the cell's effective area.
- b) A high electrical conductivity substrate is required for effective charge transfer and low power losses in DSSCs [46].

### 1.5.2.2 Photosensitizer

Numerous photosensitizers have been tested for DSSCs, including synthetic and natural dyes [47]. Synthetic dyes might be classed as organometallic or organic materials. Organometallic dyes are composed of transition metals, while organic dyes are composed of a variety of organic chromophores.

The dye is often designed around a push-pull structural moiety of the general form D- $\pi$ -A (Fig. 1-13), where D is an electron donor group connected to an electron acceptor group A through a conjugated moiety ( $\pi$ -bridge) [48]. The electron density moves from the donor region of the dye molecule (where the HOMO is localized) to the electron acceptor section of the dye molecule (where the LUMO is localized) during photoexcitation, resulting in electron injection into the conduction band of the nanocrystal line semiconductor (TiO<sub>2</sub>). The sensitization process is highly dependent on the capacity of both the donor and acceptor parts to donate and take electrons, as well as the electrical characteristics of the  $\pi$ -bridge utilized. The  $\pi$ -bridge conjugated component is composed of many chemical groups, including

coumarin, phenoxazine, and oligothiophene, while the donor groups include dialkylamine, diphenylamine, and triphenylamine [49]. Carboxylic acid, Rhodanine-3-acetic acid, and Cyanoacrylic acid moieties are often utilized as anchoring groups on the  $\text{TiO}_2$  surface (Fig 1-12) [50-52].



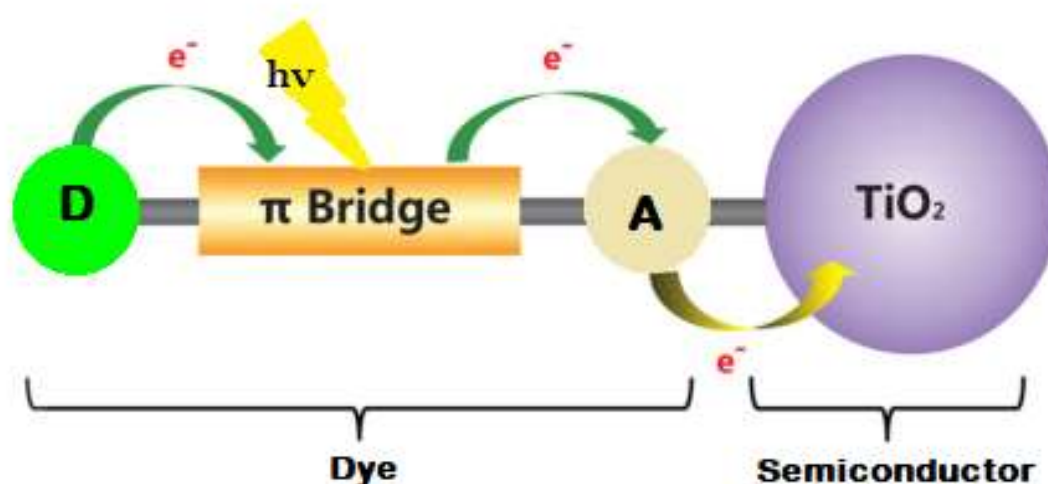
**Figure 1-12:** Some of donor and acceptor groups

The following properties of an effective dye for dye sensitized solar cell applications are proposed.

- a) The dye should absorb light throughout the visible and near-infrared spectrums [53].
- b) Dye must have a significant affinity for the metal oxides that will be adsorbed on the semiconductor layer. To establish ester bonds with the metal oxide, response groups such as phosphates and carboxylates are added. This excitation of the absorbed photons and electrons to the lowest unoccupied molecular orbital (LUMO) results

in their direct interposition into conduction band (CB) of the metal oxide's.

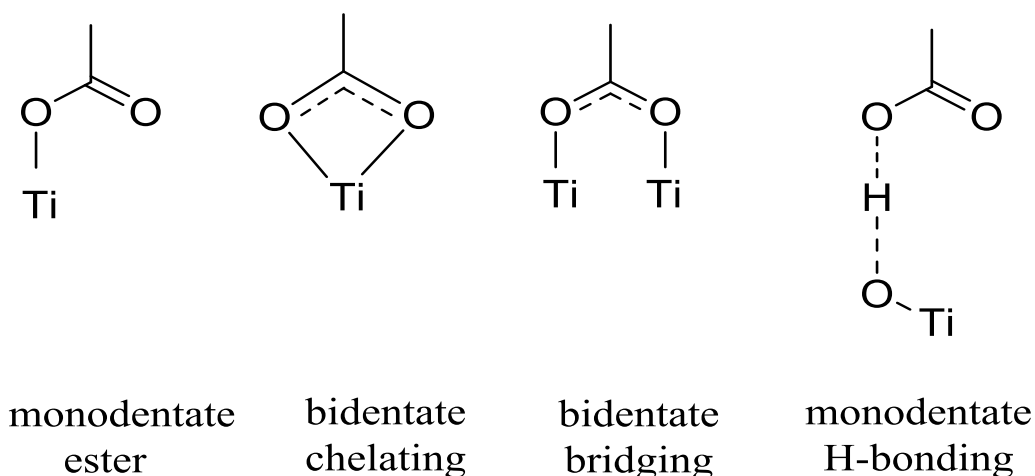
- c) Energy levels of the dye's must be compatible with those of the metal oxide and electrolyte ((LUMO) dye > (CB) metal oxide ;( HOMO) dye > (HOMO) electrolyte) (HOMO stands for highest occupied molecular orbital).
- d) Avoid dye aggregation to keep the excited state's nonradioactive decline to the ground state to a minimum [54].
- e) The dye must be chemically, thermally, and light stable for an extended length of time, which is now the primary barrier to commercialization [55].



**Figure 1-13:** Structure of Organic dye[37]

Dye molecules should be attached to the surface of a metal oxide substrate by a variety of methods, including monodentate ester, bidentate chelating, bidentate bridging, and monodentate H-bonding. Due of the close interaction between carboxylate anchor-based dyes and the metal oxide surface, structures with bidentate modes (chelating or bridging) typically

demonstrate more stability than structures with other anchoring modes (Scheme 1-1) [57].



**Scheme (1-1):** Possible Carboxylic Acid Anchor Binding to TiO<sub>2</sub> [38]

### 1.5.2.3 Electrolyte

The electrolyte is critical in regenerating the oxidized photosensitizer to its starting condition inside a DSSC. Typically, an electrolyte contains a redox couple, additives, a solvent, cations, and ionic liquids. To be considered as an electrolyte, a prospective substance must possess the following features [58,59].

- a) The couple of redox should having the ability of renewing the oxidized dye effectively.
- b) The electrolyte used must be stable throughout time.
- c) The electrolyte used in the DSSC should be non-corrosive to the other parts in DSSC.
- d) It must be allow for rapid charge carrier diffusion between electrodes.
- e) The absorption spectra was used of the electrolyte should not interfere with those of the dye [29].

However, the most efficient and least expensive electrolytes continue to be those based on iodide/tri-iodide ( $I^-/I_3^-$ ) redox couple (liquid-phase) of systems. For DSSCs, the electrolyte system ( $I^-/I_3^-$ ) demonstrates modest

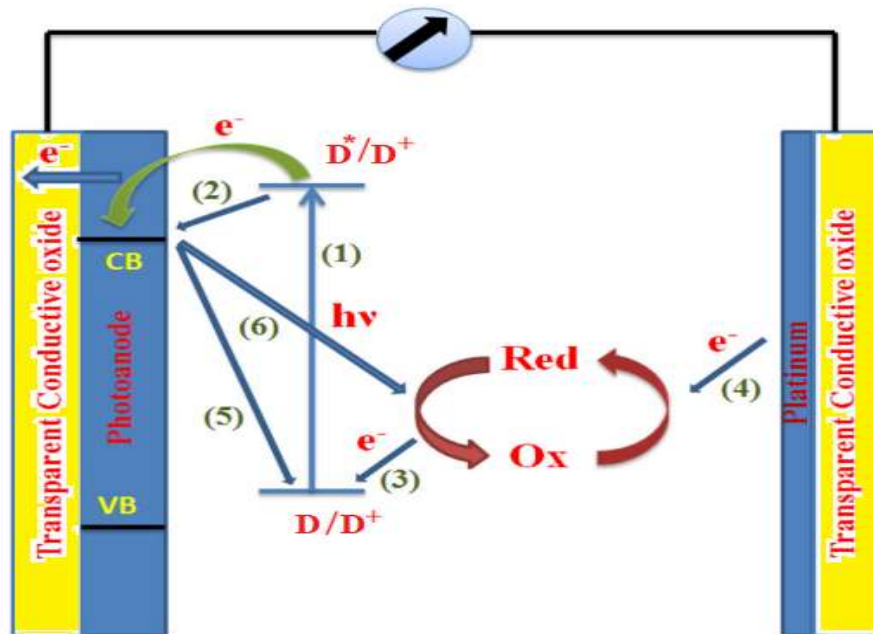
penetration through SMO films, minimal recombination losses and fast dye regeneration [54].

#### 1.5.2.4 Counter electrode

The counter electrode in DSSCs was flowed electrons from the external circuit and catalyzes the redox electrolyte's operation. To produce the counter electrodes for DSSCs, thin layers of Pt, Ag, or Au are typically deposited to clear conductive glass substrates. However, researchers have developed counter electrodes for DSSCs using low-cost conductive carbonaceous materials [60].

#### 1.4.3 Operation principle of DSSCs

In a DSSC, photocurrent is generated when the dye sensitizer (D) absorbs a photon (from sunlight) and then the photoexcited dye ( $D^*$ ) injects an electron into the CB of  $TiO_2$  [61]. Once the dye sensitizer absorbs sunlight (energy,  $h\nu$ ), electrons in the dye molecules are stimulated from the HOMO to the LUMO levels, increasing the probability of LUMO electrons being injected into the CB of  $TiO_2$  (Fig. 1-14). Following that, the injected electrons flow through the network of connected  $TiO_2$  nanoparticles to the TCO layer, where they go through the external circuit (load) to the counter electrode (Pt coated glass) [62]. The oxidized dye is then reduced to its neutral state by absorbing one electron from the  $I^-$  ions in the redox mediator, resulting in the formation of  $I_3^-$ . This process is called dye regeneration or re-reduction. To complete the circuit, electron donation from the external circuit happens at the counter electrode into  $I_3^-$  to renew  $I^-$  [63,64].



**Figure 1-14:** A Schematic diagram and operational principle of DSSC where D:dye[64]

This process is summarized as follows [38]:

- 1) Electron photoexcitation in a dye



- 2) The injection of electron from the dye into the semiconducting oxide layer's conduction band.



- 3) Electron transfer from the semiconducting oxide layer to the TCO substrate



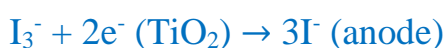
- 4) Electron conduction from the anode to the cathode



- 5) Recombination, electron diffusion from cathode to electrolyte



- 6) Recombination, dye regeneration



## 1.6 Aim of study

The aim of this study is:

1. Synthesis of six new imidazole derivatives designed according to the D- $\pi$ -A geometry to be used as dyes in DSSCs.
2. Characterization of the synthesized imidazole derivatives by spectroscopic techniques; FTIR,  $^1\text{H}$ NMR,  $^{13}\text{C}$ NMR and Mass spectroscopy.
3. Investigating the efficiency of these dyes by their application in dye sensitized solar cells.



# Chapter two

## Experimental

## 2.1. Chemicals and Techniques

### 2.1.1 Chemicals

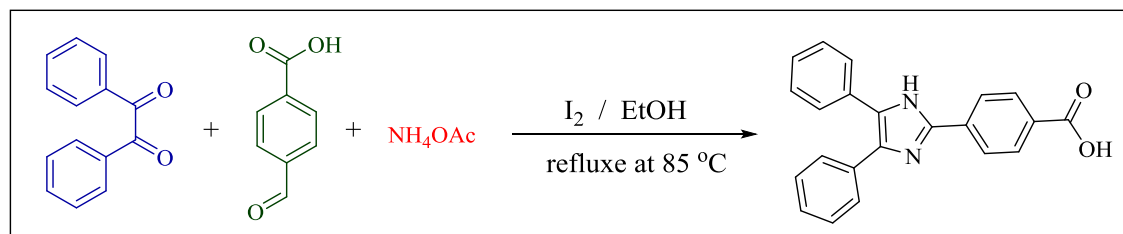
All reagents and solvents were purchased from Merck, BDH, Sigma-Aldrich, and Fluka chemical companies and used without further purification.

### 2.1.2 Techniques

1. Thin layer chromatography (TLC) (silica gel plates 60 F254, 0.2 mm) was used to monitor the reactions by using UV light (wavelength = 254 nm).
2. Melting points were determined using a capillary melting apparatus, Electro thermal Stuart SMP 30.
3. Infrared spectra were acquired at the University of Kerbala, College of Science, Department of Chemistry using a SHIMADZU FTIR-8400S Infrared Spectrophotometer.
4.  $\lambda_{\max}$  was determined using a UV spectrophotometer SHIMADZU (UV 1800) at the University of Kerbala's College of Science.
5.  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR were carried out on Bruker Avance III 400 and recorded at 400 MHz and 100 MHz spectra, respectively, University of Tehran, Central Laboratory.
6. Mass spectrometry was obtained on a Bruker (Micro ToF QII), University of Tehran, Central Laboratory.
7. Elemental analysis was recorded on a Horeaus (CHN Rapid analyzer), College of Education for Pure Science (Ibn Al-Haitham).
8. The performances of the solar cells are investigated by SMU unit, Ketly 2450 (simulator), and all dyes were measured under standard condition AM 1.5 at  $100 \text{ mW cm}^{-2}$ , University of Nahrain, Nanorenewable Energy Research Center.

## 2.2 Synthesis of imidazoles derivatives

### 2.2.1 Synthesis of 2,4,5-trisubstituted imidazole [4-(4,5-diphenyl-1H-imidazole-2-yl) benzoic acid] (PP1)

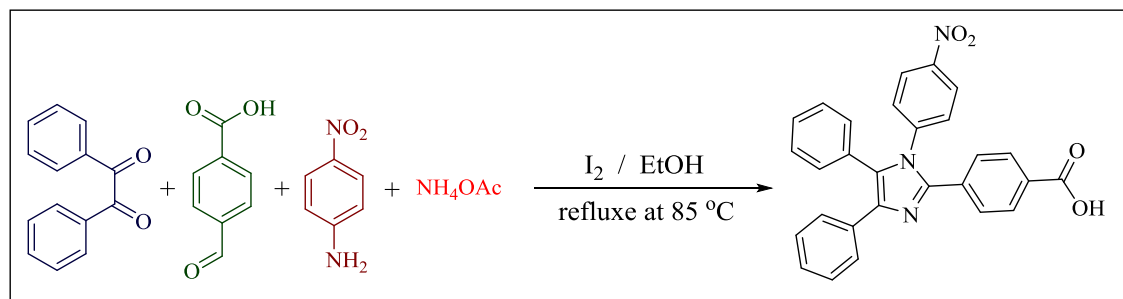


Equation 2-1: Synthetic pathway of PP1 compound

1.05 g (5 mmol) benzil, 0.75 g (5 mmol) terephthalaldehydic acid, 0.77 g (10 mmol) ammonium acetate, and 0.127 g (0.5 mmol) iodine were dissolved in 7 mL of ethanol and stirred at 85°C for 11 hrs. The completion of reaction was monitored by TLC and the eluent was (n-hexane/EtOAc, 9:1). After the reaction was completed, the reaction mixture was treated with a solution of Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (5%). After filtering, the crude mixture was washed with diethyl ether and dichloromethane to remove unreacted aldehyde and benzil. Finally, using hot ethanol, the product was recrystallized to produce a pale yellow powder product with 76% as a yield, m.p 264–266 °C [64-66]. FTIR ( $\nu$ , cm<sup>-1</sup>): N-H (3356), O-H (2400-3200), C=O (1689), C=N (1606). <sup>1</sup>H NMR  $\delta$ , 400 MHz, DMSO-*d*<sub>6</sub>: 12.99 (s, 1H, O-H), 10.09 (s, 1H, N-H), 8.22 (d, *J* = 8.0 Hz, 2H, Ar-H), 8.14 (d, *J* = 8.0 Hz, 2H, Ar-H), 8.06 (d, *J* = 8.0 Hz, 4H, Ar-H), 8.02-7.38 (m, 6H, Ar-H). <sup>13</sup>C NMR  $\delta$ , 101 MHz, DMSO-*d*<sub>6</sub>: 193.0, 167.57, 166.73, 144.57, 138.81, 136.11, 134.12, 130.09, 129.96, 129.89, 129.56, 128.51, 125.07. MS (EI, *m/z*): calcd. for C<sub>22</sub>H<sub>16</sub>N<sub>2</sub>O<sub>2</sub>: 340.1, found 340.3. Elemental analysis, calcd (CHN): C, 77.63; H, 4.74; N, 8.23, found: C, 77.21; H, 4.62; N, 8.22.

## 2.2.2 Synthesis of 1,2,4,5-tetrasubstituted imidazole

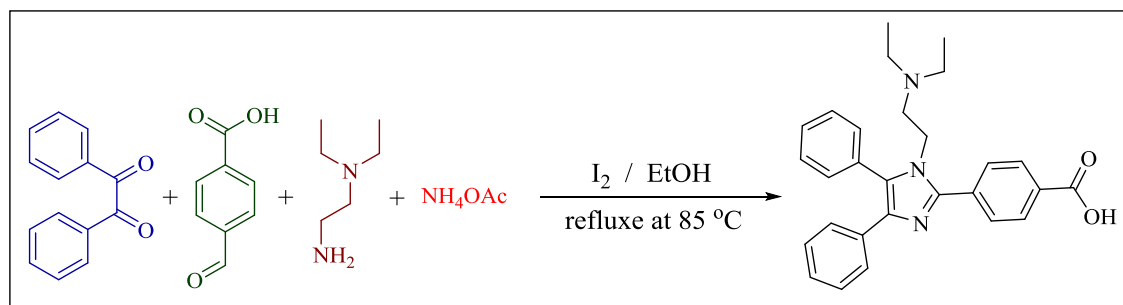
### 2.2.2.1 Synthesis of 4-[1-(4-nitrophenyl)-4,5-diphenyl-1*H*-imidazol-2- benzoic acid] (PP2)



**Equation 2-2:** Synthetic pathway of PP2 compound

1.05 g (5 mmol) benzil, 0.75 g (5 mmol) terephthalaldehydic acid, 0.385 g (5 mmol) ammonium acetate, 0.69 g (5 mmol) 4-nitroaniline and 0.127 g (0.5 mmol) iodine were dissolved in 7 mL of ethanol and stirred at 85°C for 11 hrs. The completion of reaction was monitored by TLC and the eluent was (n-hexane/EtOAc, 9:1). After the reaction was completed, the reaction mixture was treated with a solution of Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (5%). After filtering, the crude mixture was washed with diethyl ether and dichloromethane to remove unreacted aldehyde and benzil. Finally, using hot ethanol, the product was recrystallized. The yield is 70%, the color is yellow, and the melting point is 269-271°C [64-66]. FTIR ( $\nu$ , cm<sup>-1</sup>): O-H (2400-3500), C=O (1687), C=N (1600), NO<sub>2</sub> (1535 and 1392). <sup>1</sup>H NMR  $\delta$ , 400 MHz, DMSO-*d*<sub>6</sub>: 12.97 (s, 1H, O-H), 8.23 (d, *J* = 8.0 Hz, 2H, ArH), 8.06 (d, *J* = 8.0 Hz, 2H, Ar-H), 7.56-7.37 (m, 14H, Ar-H). <sup>13</sup>C NMR  $\delta$ , 101 MHz, DMSO-*d*<sub>6</sub>: 192.96, 167.15, 163.12, 157.09, 145.21, 144.59, 138.88, 134.15, 130.06, 129.91, 129.84, 129.58, 129.28, 128.51, 126.47, 125.09, 125.01, 121.99, 112.44, 56.13, 18.63. MS (EI, *m/z*): calcd. for C<sub>28</sub>H<sub>19</sub>N<sub>3</sub>O<sub>4</sub>: 461.1, found 461.3. Elemental analysis, calcd (CHN): C, 72.88; H, 4.15; N, 9.11; found: C, 72.60; H, 4.12; N, 8.98.

### 2.2.2.2 Synthesis of 4-[1-(2-(diethylamino) ethyl)-4,5-diphenyl-1H-imidazol-2-yl] benzoic acid (PP3)

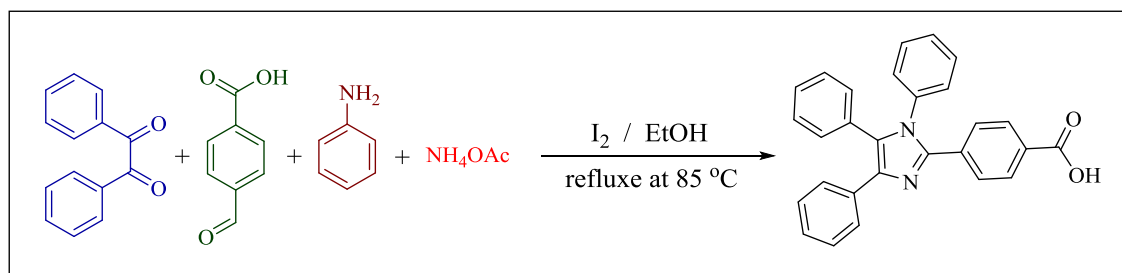


**Equation 2-3:** Synthetic pathway of PP3 compound

1.05 g (5 mmol) benzil, 0.75 g (5 mmol) terephthalaldehydic acid, 0.385 g (5 mmol) ammonium acetate, 1.4 mL (5 mmol) *N,N*-diethylethane-1,2-diamine and 0.127 g (0.5 mmol) iodine were dissolved in 7 mL of ethanol and stirred at 85°C for 11 hrs. The completion of reaction was monitored by TLC and the eluent was (n-hexane/EtOAc, 9:1). After the reaction was completed, the reaction mixture was treated with a solution of Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (5%). After filtering, the crude mixture was washed with diethyl ether and dichloromethane to remove unreacted aldehyde and benzil. Finally, using hot ethanol, the product was recrystallized. The yield is 68%, the color is orange, and the melting point is 216-217°C. FTIR ( $\nu$ , cm<sup>-1</sup>): O-H (2400-3400), C-H aliphatic (2978), C=O (1682), C=N (1599). <sup>1</sup>H NMR  $\delta$ , 400 MHz, DMSO-*d*<sub>6</sub>: 10.10 (s, 1H, O-H), 8.07 (d, *J* = 8.0 Hz, 2H, Ar-H), 7.87 (d, *J* = 8.0 Hz, 2H, Ar-H), 7.57-7.11 (m, 10H, Ar-H), 3.97 (t, *J* = 8.0 Hz, 2H, -CH<sub>2</sub>-), 2.24 (t, *J* = 8.0 Hz, 2H, -CH<sub>2</sub>-), 2.10 (q, *J*<sub>1</sub> = 16 Hz, *J*<sub>2</sub> = 8 Hz, 4H, 2 × -CH<sub>2</sub>-), 0.55 (t, *J* = 6.0 Hz, 6H, 2 × -CH<sub>3</sub>). <sup>13</sup>C NMR  $\delta$ , 101 MHz, DMSO-*d*<sub>6</sub>: 167.33, 146.23, 136.92, 134.66, 134.50, 130.96, 130.83, 130.37, 129.64, 129.48, 129.22, 129.04, 128.67, 128.12, 126.26, 126.10, 51.38, 46.72, 42.99, 11.50. MS (EI, *m/z*): calcd. for C<sub>28</sub>H<sub>29</sub>N<sub>3</sub>O<sub>2</sub>: 439.2, found 439.4. Elemental analysis, calcd (CHN): C, 76.51; H, 6.65; N, 9.56; found: C, 76.45; H, 6.67; N, 9.49

2.2.2.3 Synthesis of 4-(1,4,5-triphenyl-1*H*-imidazol-2-yl)benzoic acid

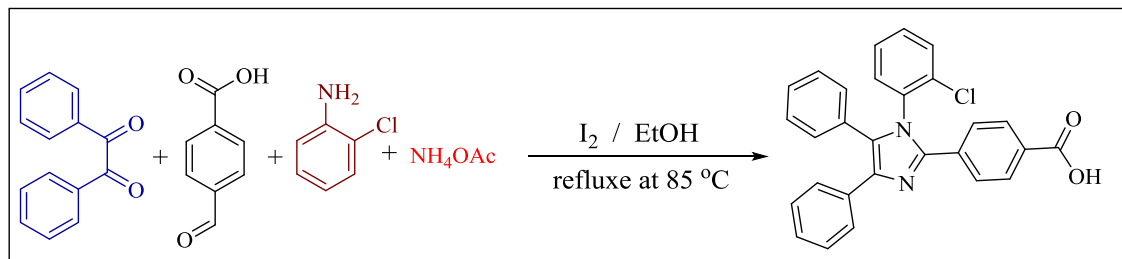
## (PP4)



Equation 2-4: Synthetic pathway of PP4 compound

1.05 g (5 mmol) benzil, 0.75 g (5 mmol) terephthalaldehydic acid, 0.385 g (5 mmol) ammonium acetate, aniline 0.456 mL (5 mmol) and 0.127 g (0.5 mmol) iodine were dissolved in 7 mL of ethanol and stirred at 85°C for 11 hrs. The completion of reaction was monitored by TLC and the eluent was (n-hexane/EtOAc, 9:1). After the reaction was completed, the reaction mixture was treated with a solution of Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (5%). After filtering, the crude mixture was washed with diethyl ether and dichloromethane to remove unreacted aldehyde and benzil. Finally, using hot ethanol, the product was recrystallized. The yield is 71.5%, the color is dark yellow, and the melting point is 250-252°C. FTIR ( $\nu$ , cm<sup>-1</sup>): O-H (2500-3400), C=O (1709), C=N (1606). <sup>1</sup>H NMR  $\delta$ , 400 MHz, DMSO-*d*<sub>6</sub>: 12.96 (s, 1H, O-H), 8.21 (d, *J* = 8.0 Hz, 2H, Ar-H), 8.04 (d, *J* = 12.0 Hz, 2H, Ar-H), 7.83 (d, *J* = 8.0 Hz, 2H, Ar-H), 7.49 (t, *J* = 10.0 Hz, 3H, Ar-H), 7.36-7.17 (m, 10H, Ar-H). <sup>13</sup>C NMR  $\delta$ , 101 MHz, DMSO-*d*<sub>6</sub>: 166.87, 145.01, 137.35, 136.44, 134.24, 134.18, 132.03, 131.14, 130.24, 130.15, 129.31, 129.14, 128.99, 128.69, 128.58, 128.52, 128.24, 128.14, 126.66, 126.41, 125.04. MS (EI, *m/z*): calcd. for C<sub>28</sub>H<sub>20</sub>N<sub>2</sub>O<sub>2</sub>: 416.1, found 416.3. Elemental analysis, calcd (CHN): C, 80.75; H, 4.84; N, 6.73; found: C, 80.62.45; H, 4.92; N, 6.71.

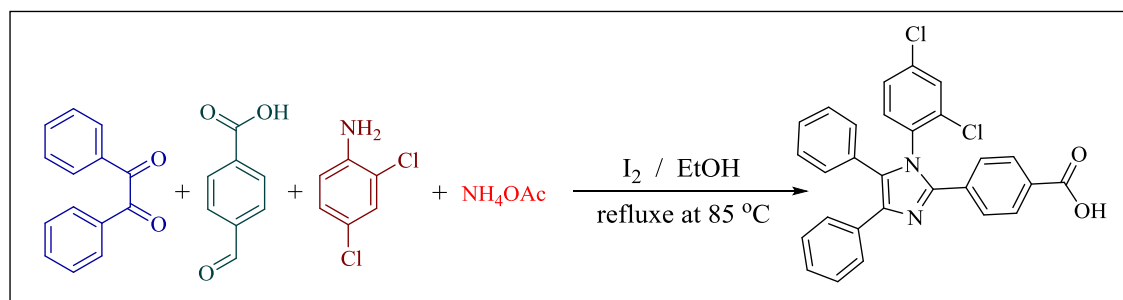
### 2.2.2.4 Synthesis of 4-[1-(2-chlorophenyl)-4,5-diphenyl-1*H*-imidazol-2-yl]benzoic acid (PP5)



**Equation 2-5:** Synthetic pathway of PP5 compound

1.05 g (5 mmol) benzil, 0.75 g (5 mmol) terephthalaldehydic acid, 0.385 g (5 mmol) ammonium acetate, 2-chloroaniline 0.525 mL (5 mmol) and 0.127 g (0.5 mmol) iodine were dissolved in 7 mL of ethanol and stirred at 85°C for 11 hrs. The completion of reaction was monitored by TLC and the eluent was (n-hexane/EtOAc, 9:1). After the reaction was completed, the reaction mixture was treated with a solution of Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (5%). After filtering, the crude mixture was washed with diethyl ether and dichloromethane to remove unreacted aldehyde and benzil. Finally, using hot ethanol, the product was recrystallized. The yield is 77%, the color is light brown, and the melting point is 220-222 °C. FTIR ( $\nu$ , cm<sup>-1</sup>): O-H (2400-3500), C=O (1701), C=N (1608), C-Cl (771). <sup>1</sup>H NMR  $\delta$ , 400 MHz, DMSO-*d*<sub>6</sub>: 12.96 (s, 1H, O-H), 8.22 (d, *J* = 8.0 Hz, 2H, Ar-H), 8.14 (d, *J* = 8.0 Hz, 2H, Ar-H), 8.09-8.00 (m, 2H, Ar-H), 7.93 (d, *J* = 8.0 Hz, 1H, Ar-H), 7.87 (d, *J* = 8.0 Hz, 1H, Ar-H), 7.81-7.19 (m, 10H, Ar-H). <sup>13</sup>C NMR  $\delta$ , 101 MHz, DMSO-*d*<sub>6</sub>: 206.55, 194.87, 193.01, 167.11, 166.64, 161.96, 145.10, 144.55, 138.90, 137.57, 135.75, 135.60, 134.14, 133.93, 132.27, 131.90, 131.70, 131.43, 130.72, 130.56, 130.10, 130.01, 129.89, 129.84, 129.66, 129.59, 129.55, 129.38, 129.03, 128.89, 128.63, 128.31, 128.25, 127.51, 126.82, 126.36, 125.07, 120.30, 40.15, 30.74. MS (EI, *m/z*): calcd. for C<sub>28</sub>H<sub>19</sub>ClN<sub>2</sub>O<sub>2</sub>: 450.1, found 450.3. Elemental analysis, calcd (CHN): C, 74.58; H, 4.25; N, 6.21; found: C, 76.01.45; H, 4.12; N, 5.95.

### 2.2.2.5 Synthesis of 4-[1-(2,4-dichlorophenyl)-4,5-diphenyl-1*H*-imidazol-2-yl]benzoic acid (PP6)



**Equation 2-6:** Synthetic pathway of PP6 compound

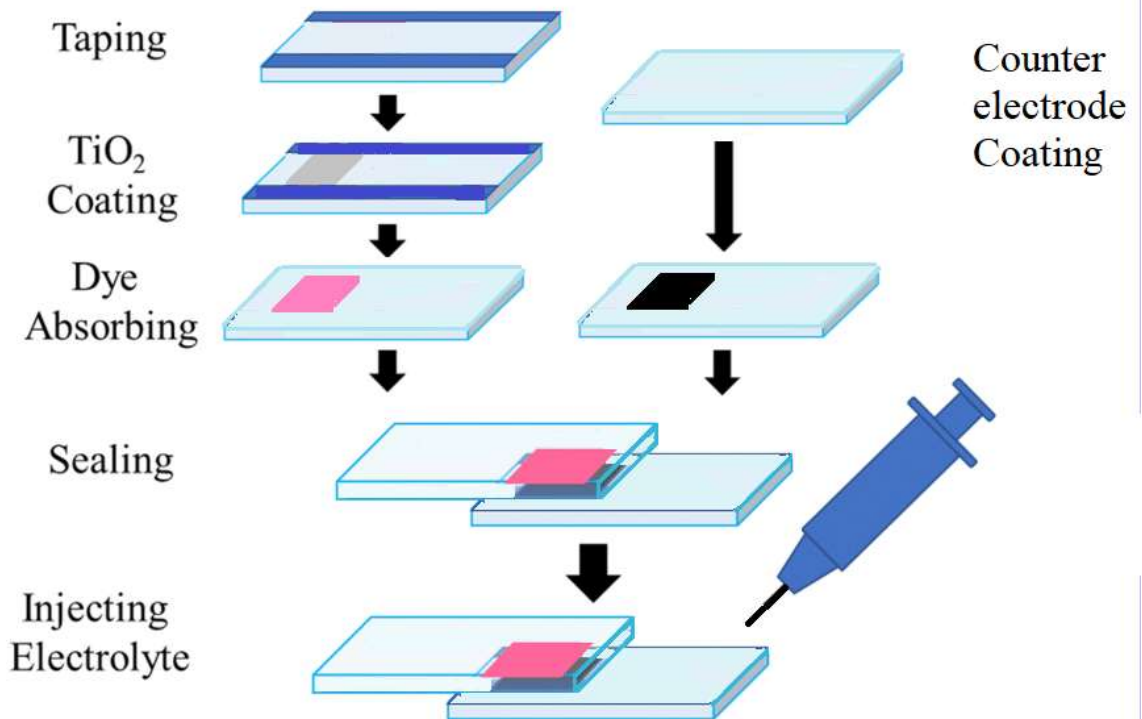
1.05 g (5 mmol) benzil, 0.75 g (5 mmol) terephthalaldehydic acid, 0.385 g (5 mmol) ammonium acetate, 2,4-dichloro aniline 0.81g (5 mmol) and 0.127 g (0.5 mmol) iodine were dissolved in 7 mL of ethanol and stirred at 85°C for 11 hrs. The completion of reaction was monitored by TLC and the eluent was (n-hexane/EtOAc, 9:1). After the reaction was completed, the reaction mixture was treated with a solution of Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (5%). After filtering, the crude mixture was washed with diethyl ether and dichloromethane to remove unreacted aldehyde and benzil. Finally, using hot ethanol, the product was recrystallized. The yield is 73%, the color is brown, and the melting point is 234-235°C. FTIR ( $\nu$ , cm<sup>-1</sup>): O-H (2400-3400), C=O (1680), C=N (1587), C-Cl (707). <sup>1</sup>H NMR  $\delta$ , 400 MHz, DMSO-*d*<sub>6</sub>: 13.25 (s, 1H, O-H), 8.67 (s, 1H, Ar-H), 8.22 (d, *J* = 8.0 Hz, 2H, Ar-H), 8.11-8.05 (m, 2H, Ar-H), 7.92 (d, *J* = 4.0 Hz, 1H, Ar-H), 7.78 (t, *J* = 8.0 Hz, 2H, Ar-H), 7.67-7.18 (m, 8H, Ar-H). <sup>13</sup>C NMR  $\delta$ , 101 MHz, DMSO-*d*<sub>6</sub>: 194.81, 166.87, 162.56, 147.33, 144.54, 142.00, 139.03, 135.54, 134.12, 133.63, 132.25, 130.72, 129.86, 129.81, 129.62, 129.51, 129.17, 129.09, 128.64, 128.28, 125.04, 121.55, 119.69, 118.36, 116.25, 113.37. MS (EI, *m/z*): calcd. for C<sub>28</sub>H<sub>18</sub>Cl<sub>2</sub>N<sub>2</sub>O<sub>2</sub>: 484.0, found 484.1. Elemental analysis, calcd (CHN): C, 69.29; H, 3.74; N, 5.77; found: C, 67.82; H, 3.71; N, 6.13.



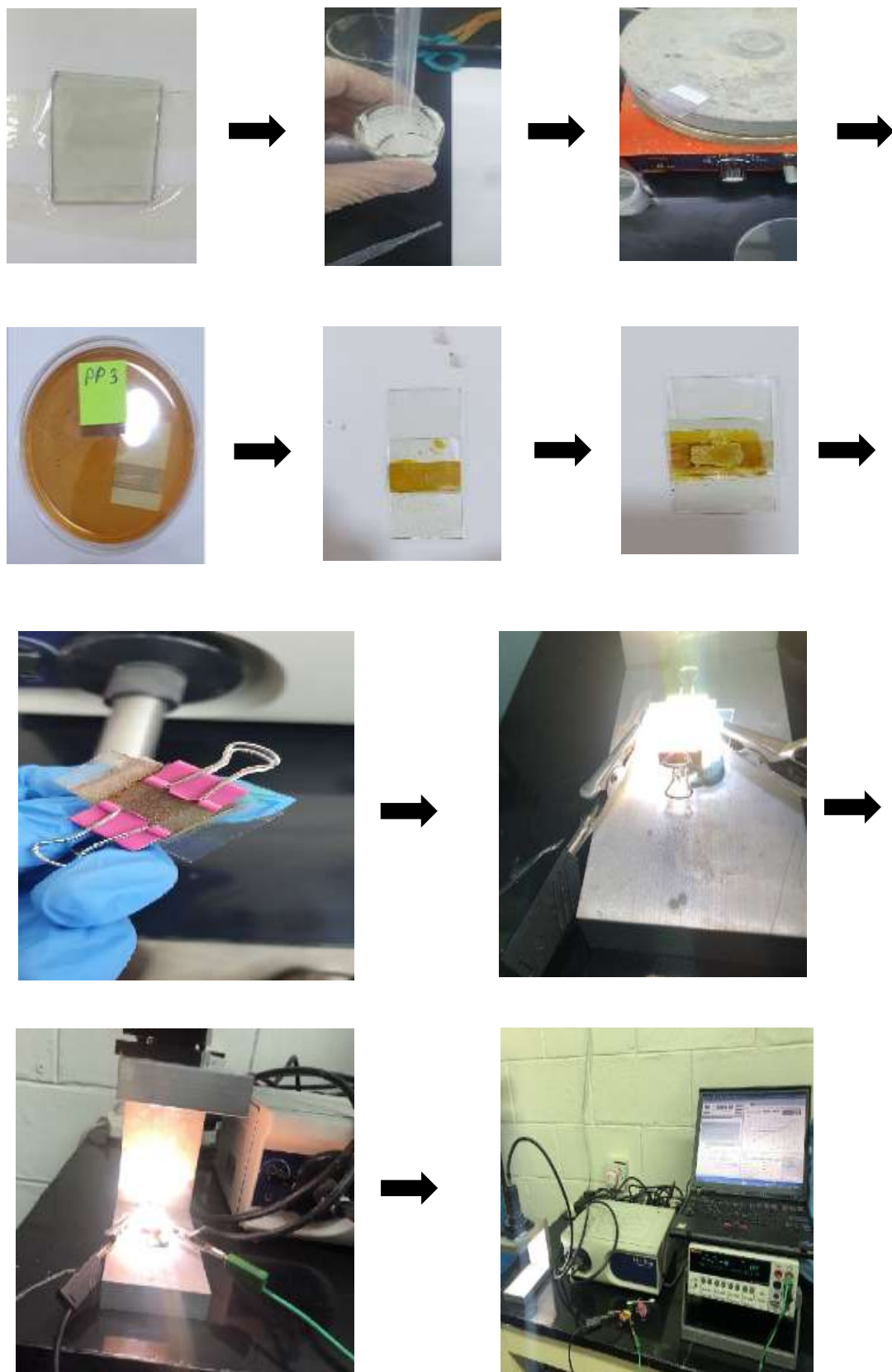
### **2.3 Fabrication DSSCs using Doctor Blade's method.**

To make the  $\text{TiO}_2$  paste, 3.00 mL of ethanol and 0.5 mL of concentrated acetic acid were added to 1.00 g of  $\text{TiO}_2$  nanoparticles (10-20 nm). The mixture was sonicated until attained to a white paste. A conductive glass (ITO) was cleaned twice with absolute ethanol, and then a tape was put on the conducting face of the glass to draw a border of  $\text{TiO}_2$  paste and set the paste thickness between 50 and 60 nm. On the conductive surface of ITO, a few drops of  $\text{TiO}_2$  paste were placed and flattened using the doctor's blade procedure. The homogeneous  $\text{TiO}_2$  layer was annealed at 400 degrees Celsius for 45 minutes on a hot plate and then cooled to room temperature for 15 min. The counter electrode (ITO with  $\text{TiO}_2$ ) was immersed for 2 hours in a solution of manufactured dye (EtOH : THF, 5:1) and then washed with ethanol to remove the aggregate dye. The counter electrode was created by scratching graphite pen on the conducting surface of another ITO glass.

The electrolyte solution was made using a volume ratio of 4:1 of 0.50 M KI, 0.05 M  $\text{I}_2$  in ethylene glycol, and acetonitrile. A few drops of the electrolyte solution were applied to the surface of the  $\text{TiO}_2$  and then the counter electrode was connected to the working electrode using a binder clip. The produced solar cell was then evaluated using a photon incident beam to determine its efficiency (Fig. 2-1) and (Fig.2-2). [67,68]



**Figure 2-1:** Schematic illustration of the dye-sensitized solar cells (DSSCs) assembly[69]



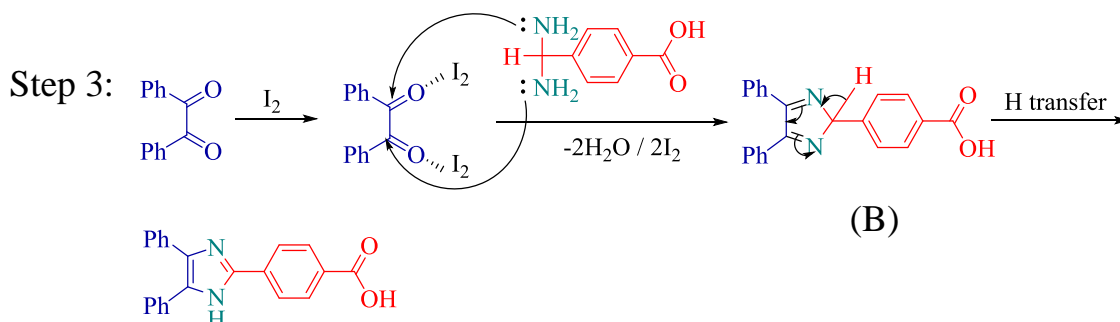
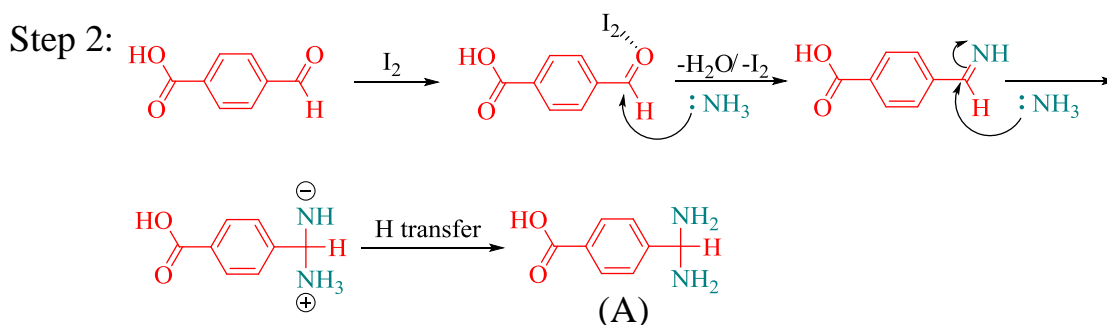
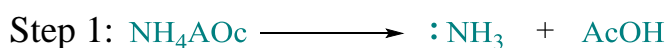
**Figure 2-2:** Steps of Fabrication DSSCs

# **Chapter three**

## **Results and Discussion**

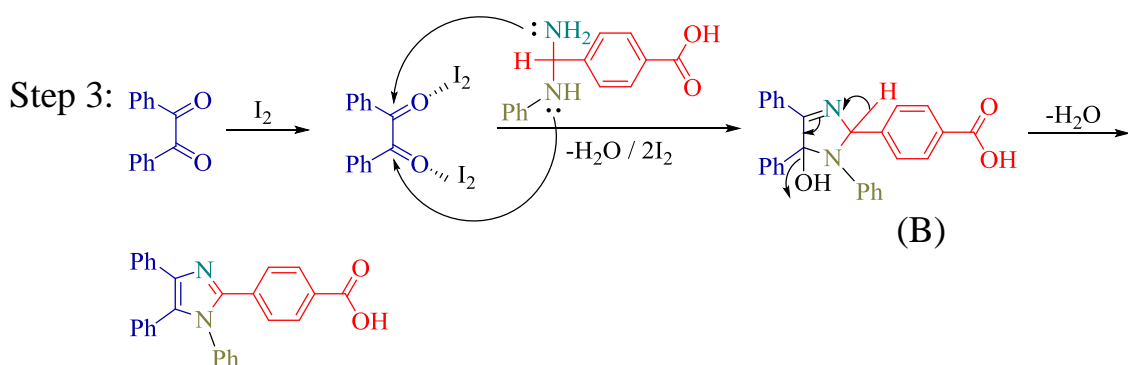
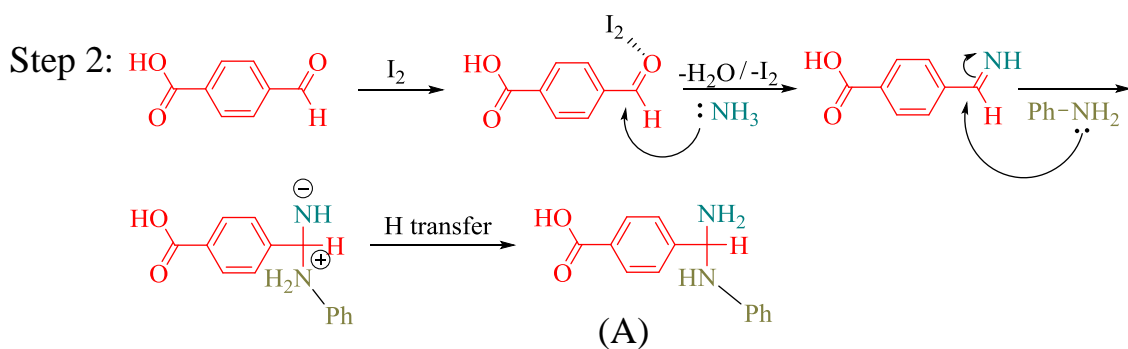
### 3.1 General synthesis

Six substituted imidazole derivative dyes were synthesized using a method previously described in the literature [64-66]. The electron-donating and electron-withdrawing groups were employed as substituents on the imidazole by catalyzing the cyclo condensation process between aldehyde and benzil with ammonium acetate and iodine as the catalyst. The reaction was carried out by linkage the iodine molecule to the oxygen in the aldehyde and then increasing the reactivity of the carbonyl group due to The Lewis acidic nature of molecular iodine. Iodine facilitates the creation of a diamine intermediate (A), which condenses further with the carbonyl carbon of 1,2 diketone followed by dehydration afford the intermediate (B), which undergoes sigmatropic rearrangement to give the necessary imidazoles (scheme 3-1) [65,70,71,72]. Purification and characterization of all products were carried out using FTIR,  $^1\text{H}$  NMR,  $^{13}\text{C}$ NMR and Mass spectroscopy. The yields of the synthesized compounds are approximately 70%.



**Scheme 3-1:** Probable mechanism of synthesis trisubstituted imidazole [65,70-72].

In mechanism of synthesis tetrasubstituted imidazole, the difference is the presence of another nitrogen source (amine group) in addition to the ammonium acetate (scheme 3-2).



**Scheme 3-2:** Probable mechanism of synthesis tetrasubstituted imidazole [65,70-72].

### 3.2 Characterization of the dyes

FTIR spectra of (PP1, PP2, PP3, PP4, PP5 and PP6) (Fig. 3-1 to 3-6) showed a broad absorption band in the range (2400-3500  $\text{cm}^{-1}$ ) due to the stretching vibrations of the O–H bonds in carboxylic acids. At PP1 appeared one peak at 3356  $\text{cm}^{-1}$  (Fig. 3-1) assigned to N–H in imidazole ring but in the other dyes, the peak of N–H was disappeared due to presence of a substituent group on N–H of imidazole ring. The spectra also showed six absorption bands between 1680-1708  $\text{cm}^{-1}$  are attributed to the stretching vibration of the C=O bonds for all dyes, which correspond to the C=O in carboxylic acids. Beside that the dyes exhibit absorption bands (1575-1608)  $\text{cm}^{-1}$ , (1213-1292)  $\text{cm}^{-1}$  and (3076)  $\text{cm}^{-1}$  attributed to C=N, C-N bonds stretching in imidazole ring and C-H aromatic respectively. PP2 showed absorption bands at (1535 and 1392  $\text{cm}^{-1}$ ) (Fig. 3-2) assigned to  $\text{NO}_2$  bond stretching and PP3 was appeared absorption bands at 1180 and 2978  $\text{cm}^{-1}$  (Fig. 3-3) due to aliphatic amine was substituent on N-H of imidazole ring and C-H aliphatic on the same amine respectively. PP5 and PP6 showed two absorption bands at 771 and 707  $\text{cm}^{-1}$  (Fig. 3-5 and 3-6) respectively due to C-Cl bond.

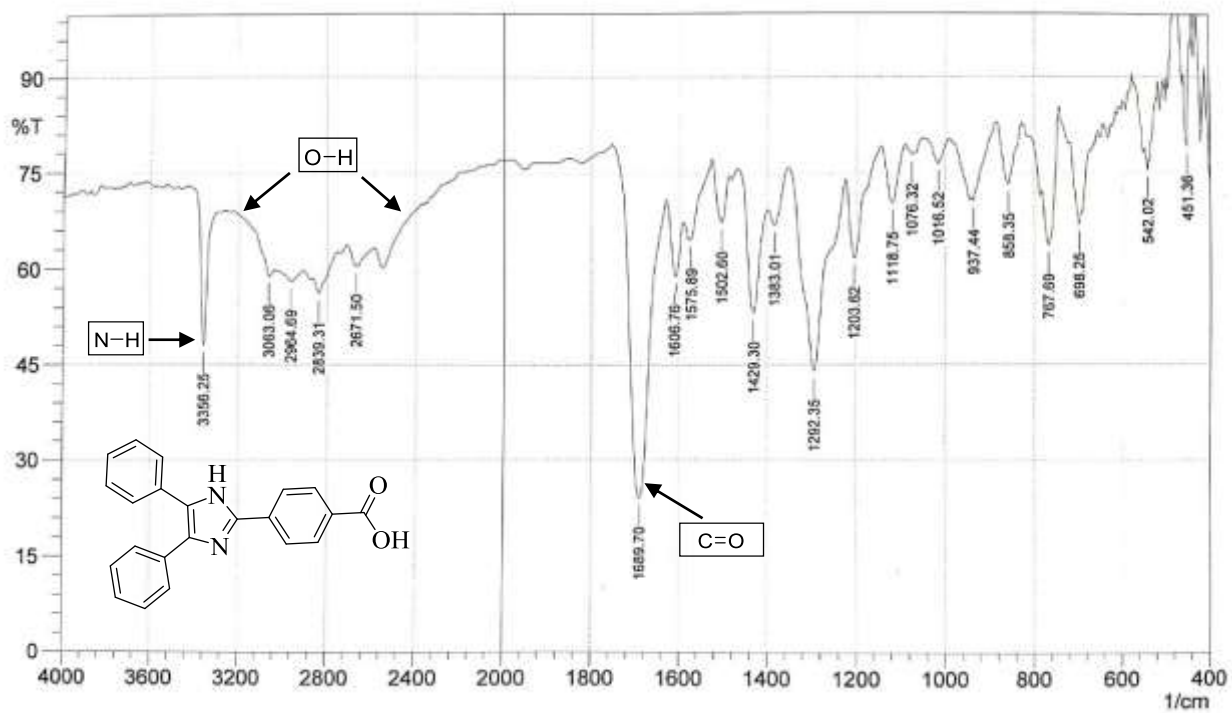


Figure 3-1: FTIR spectrum of (PP1)

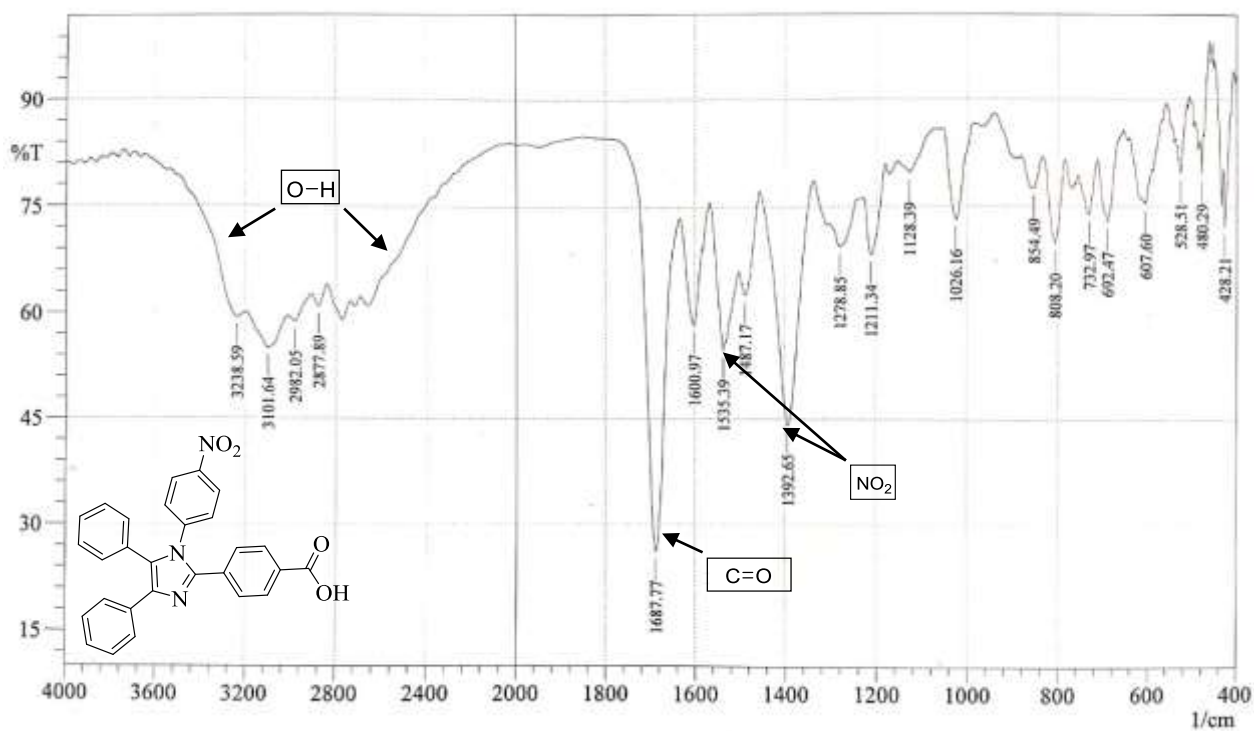


Figure 3-2: FTIR spectrum of (PP2)



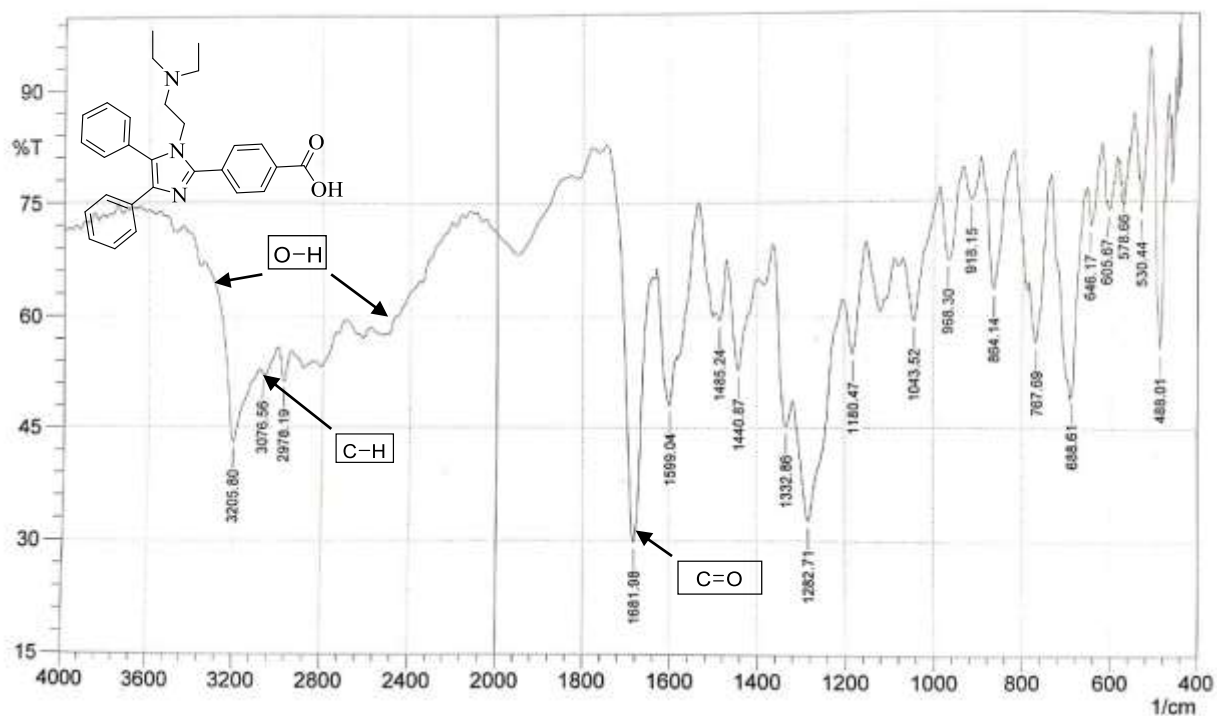


Figure 3-3: FTIR spectrum of (PP3)

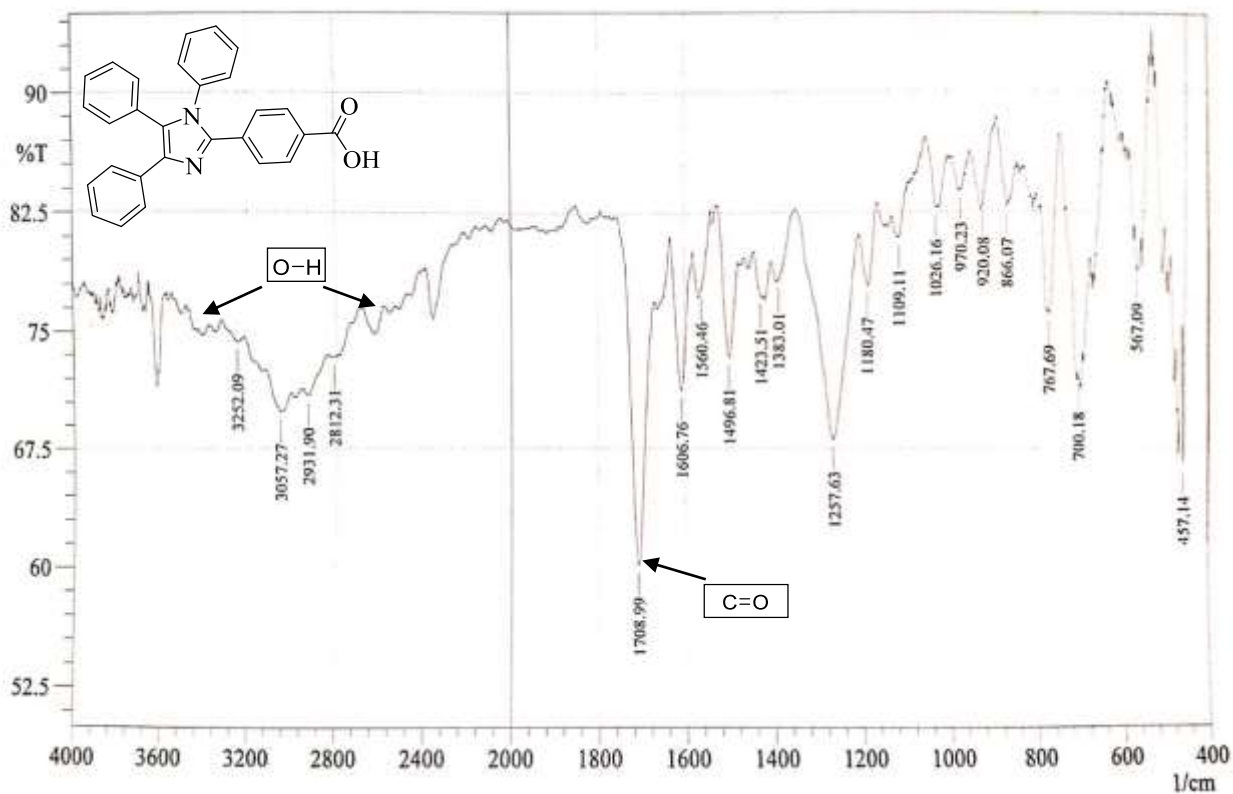


Figure 3-4: FTIR spectrum of (PP4)

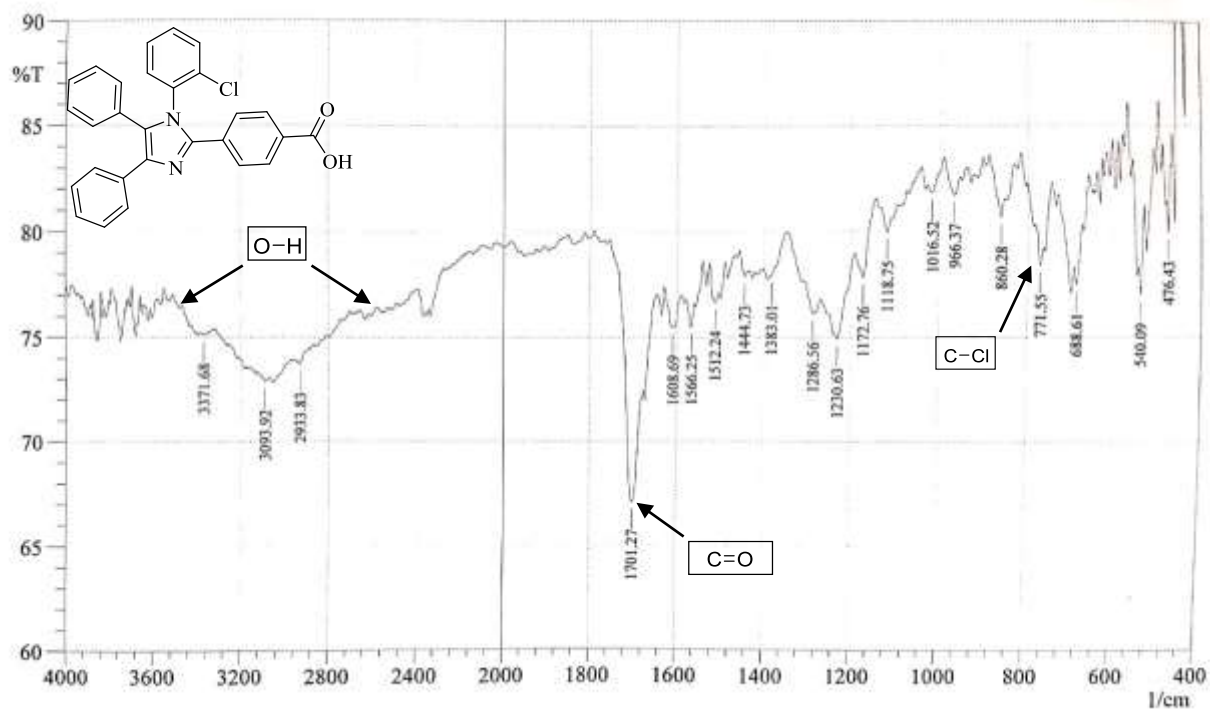


Figure 3-5: FTIR spectrum of (PP5)

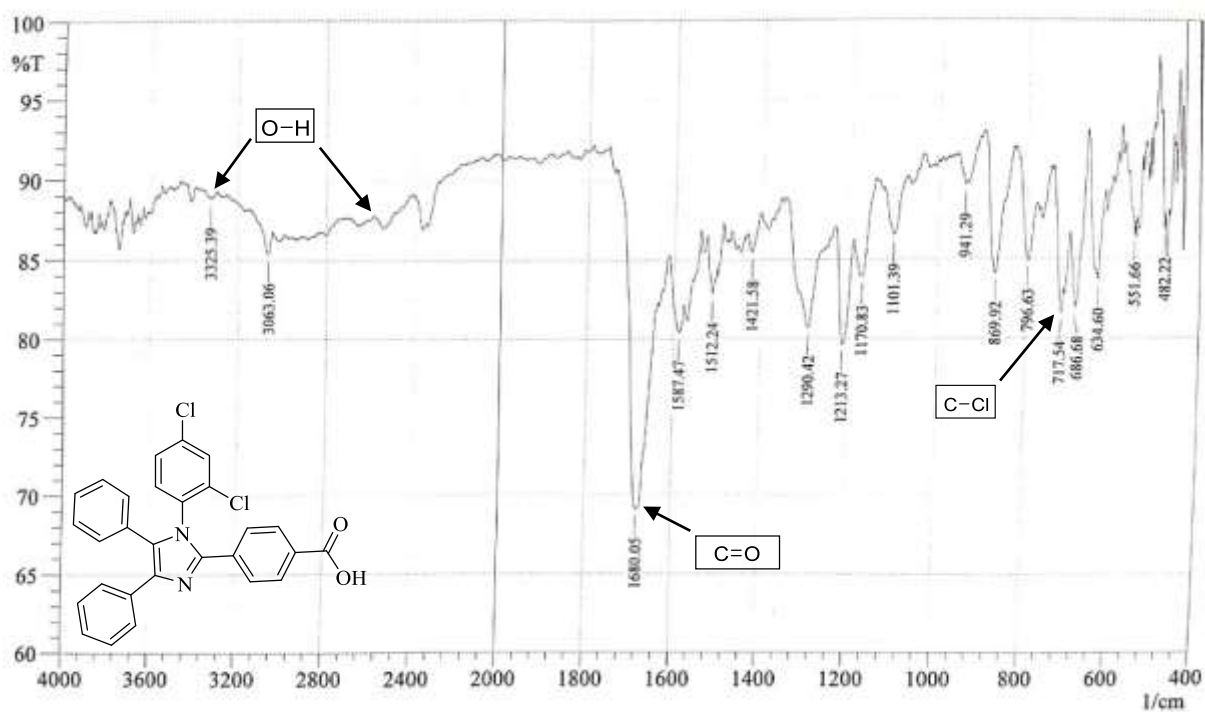
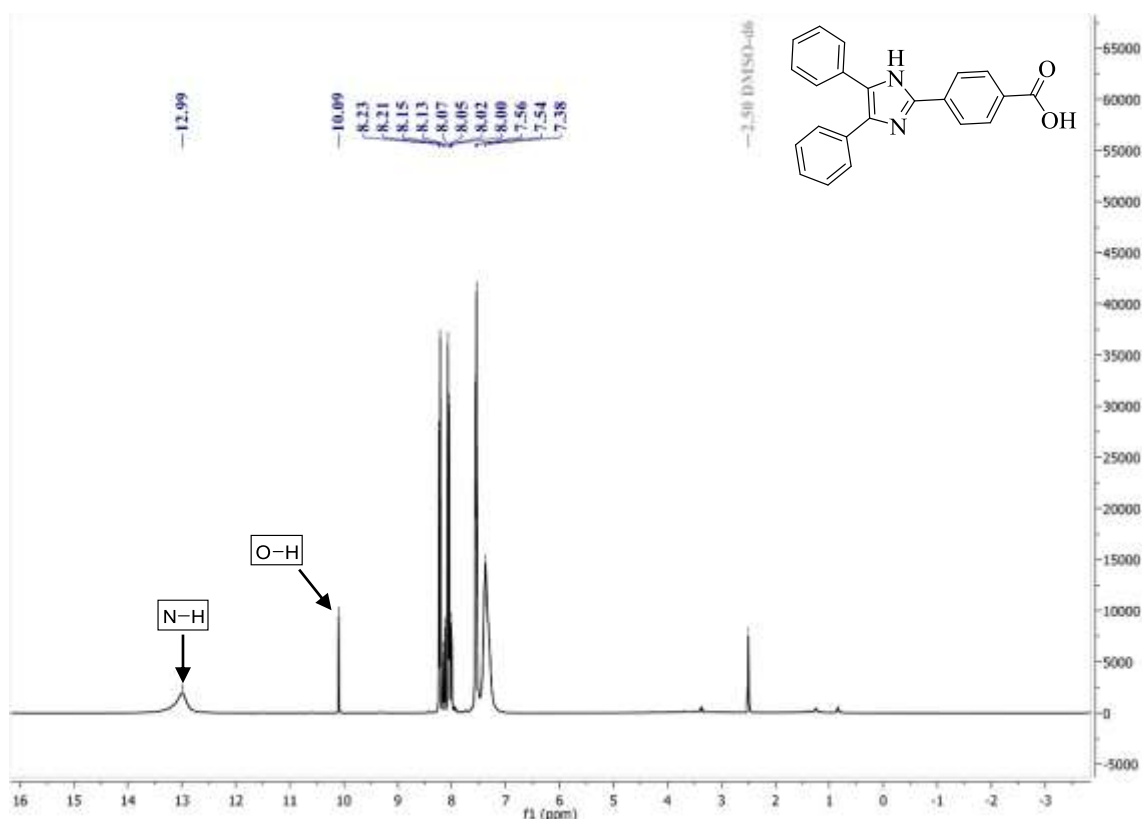
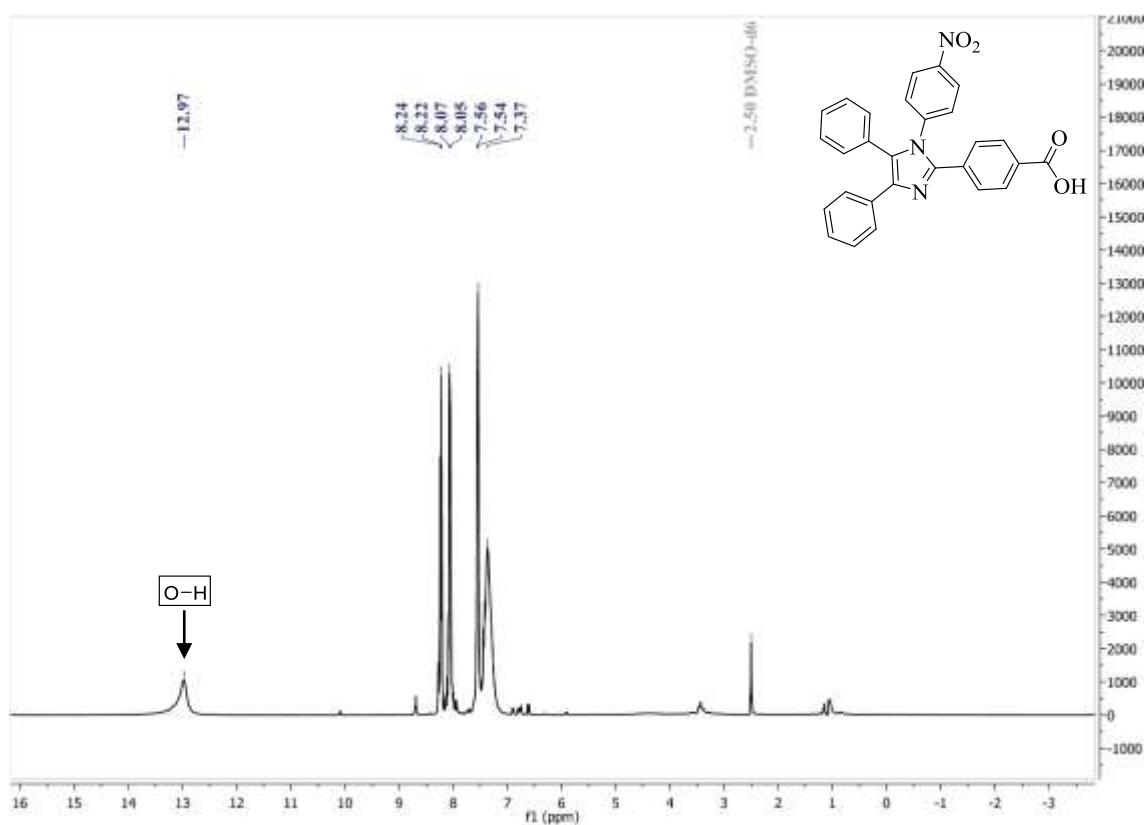
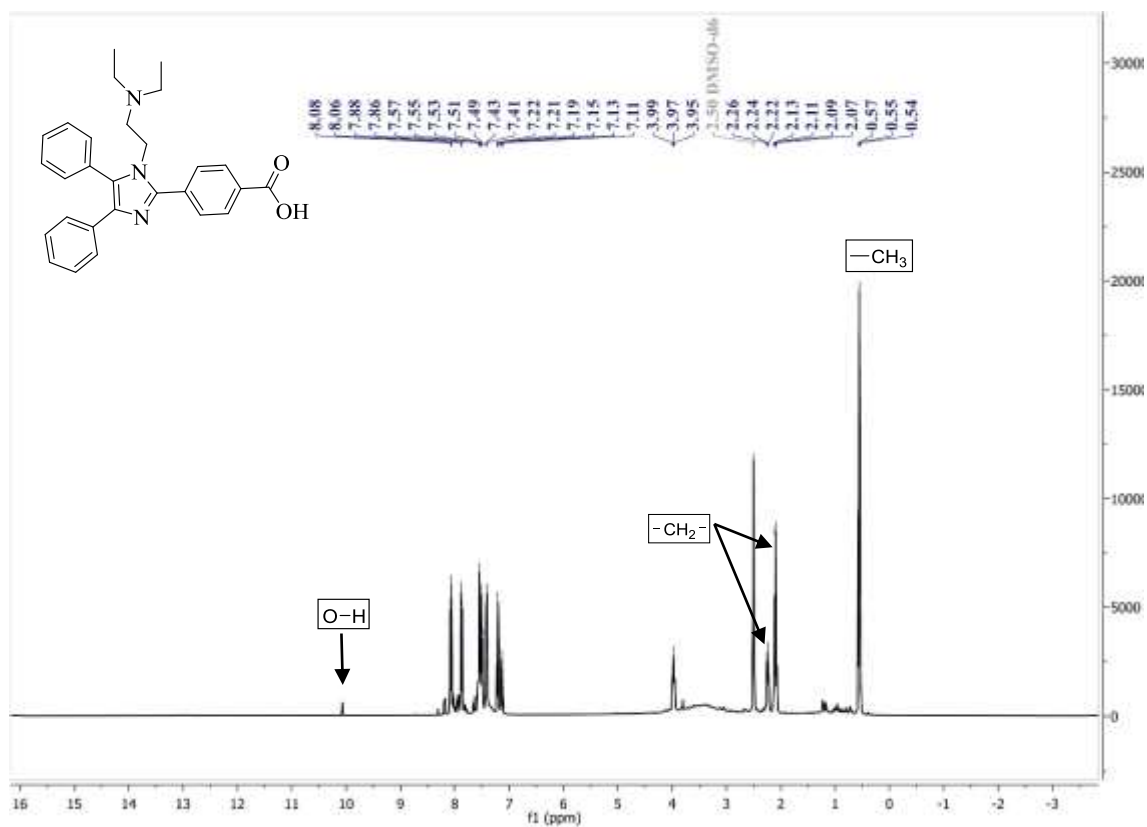


Figure 3-6: FTIR spectrum of (PP6)

$^1\text{H}$ NMR was also utilized to analyze the structure of the dyes (PP1, PP2, PP3, PP4, PP5 and PP6). The  $^1\text{H}$ NMR spectrum of PP1 exhibited a singlet signal at 10.1 ppm (Fig. 3-7) assigned to one proton in N-H of imidazole ring while in the other dyes this signal was disappeared due to presence a substituent group on N-H of imidazole ring, so there is no proton on N of imidazole. All the dyes showed a singlet signal at 12.96 - 13.25 ppm (Fig. 3-7 to 3-12) corresponding to proton in carboxylic group except PP3 showed a singlet signal at 10.10 ppm. The PP3 (Fig. 3-9) showed triplet signal at 0.55 ppm of protons ( $2\times -\text{CH}_3$ ), quartet at 2.10 ppm of protons ( $2\times -\text{CH}_2-$ ) and triplet at 2.24 ppm of protons ( $2\times -\text{CH}_2-$ ) in aliphatic amine was substituted on imidazole ring. Moreover, the mass spectra of compounds showed molecular ion peaks  $\text{M}^+$  and  $\text{M}^+\text{H}$  at  $m/z$  corresponding to their respective calculated molecular masses as shown in (Table 3-1) with some physical properties of the dyes was synthesized.



**Figure 3-7:**  $^1\text{H}$ NMR spectrum of (PP1)

**Figure 3-8:**  $^1\text{H}$ NMR spectrum of (PP2)**Figure 3-9:**  $^1\text{H}$ NMR spectrum of (PP3)

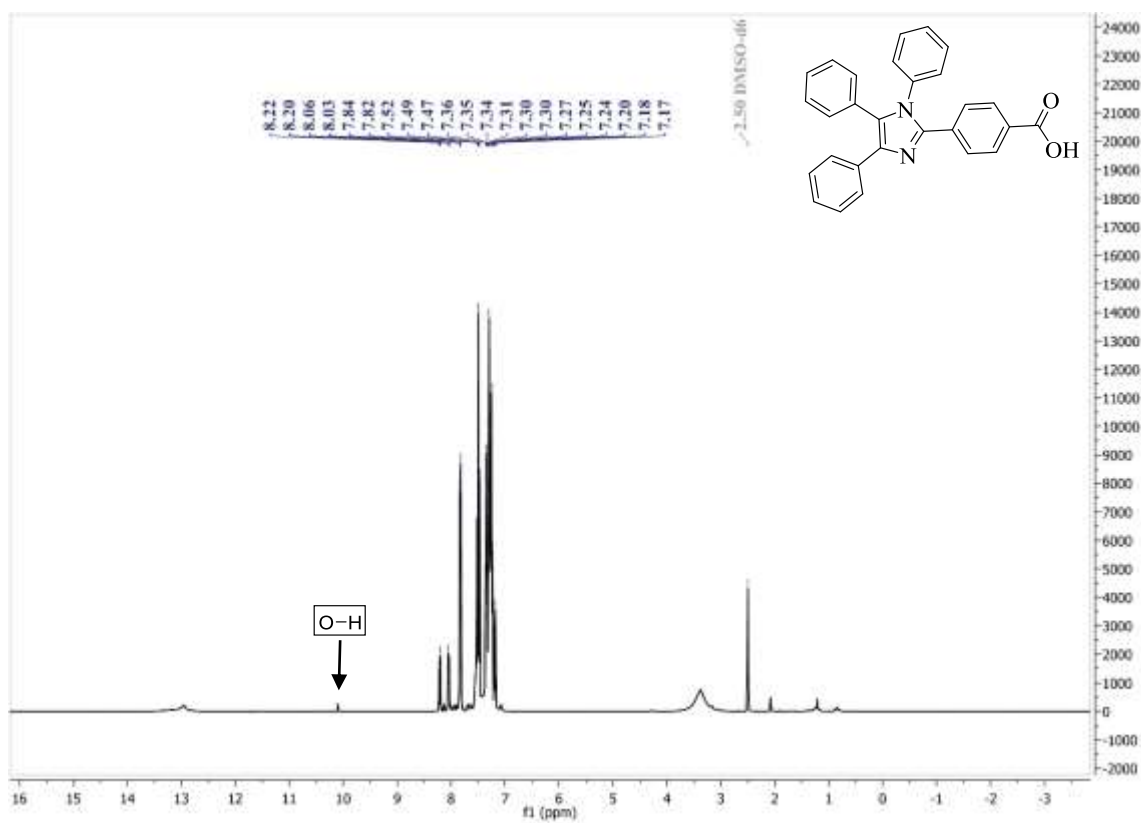


Figure 3-10:  $^1\text{H}$ NMR spectrum of (PP4)

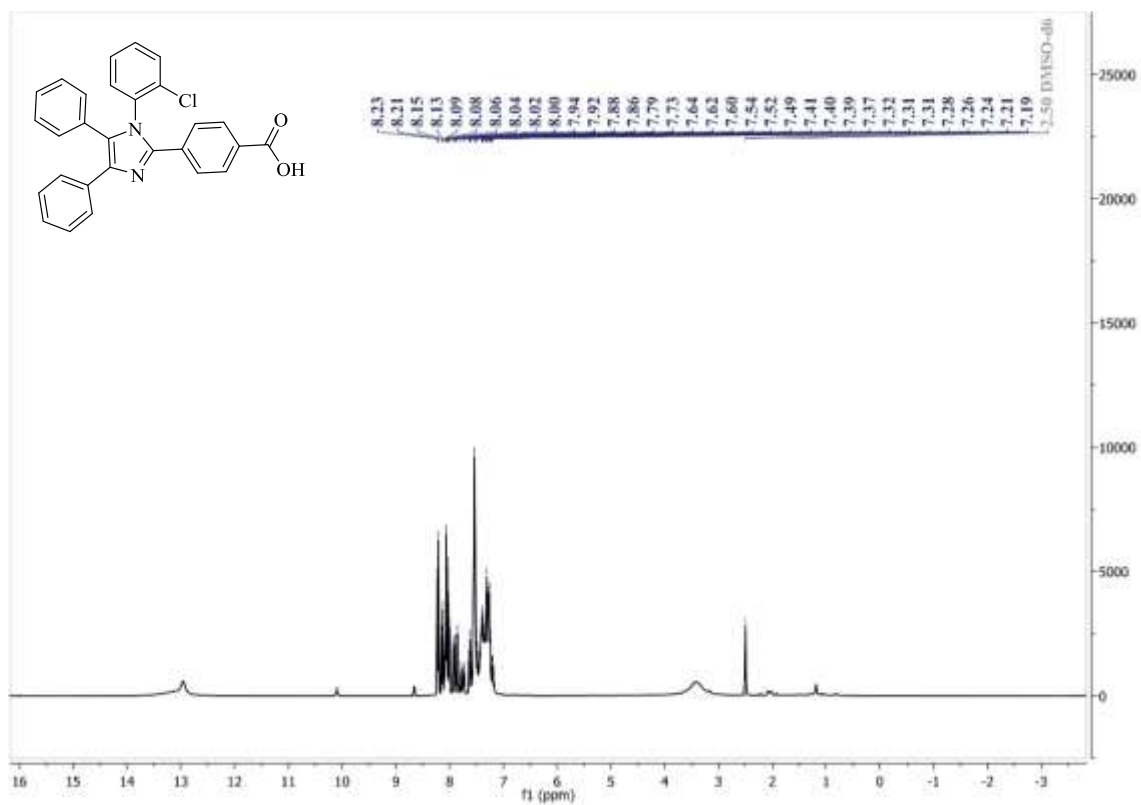


Figure 3-11:  $^1\text{H}$ NMR spectrum of (PP5)

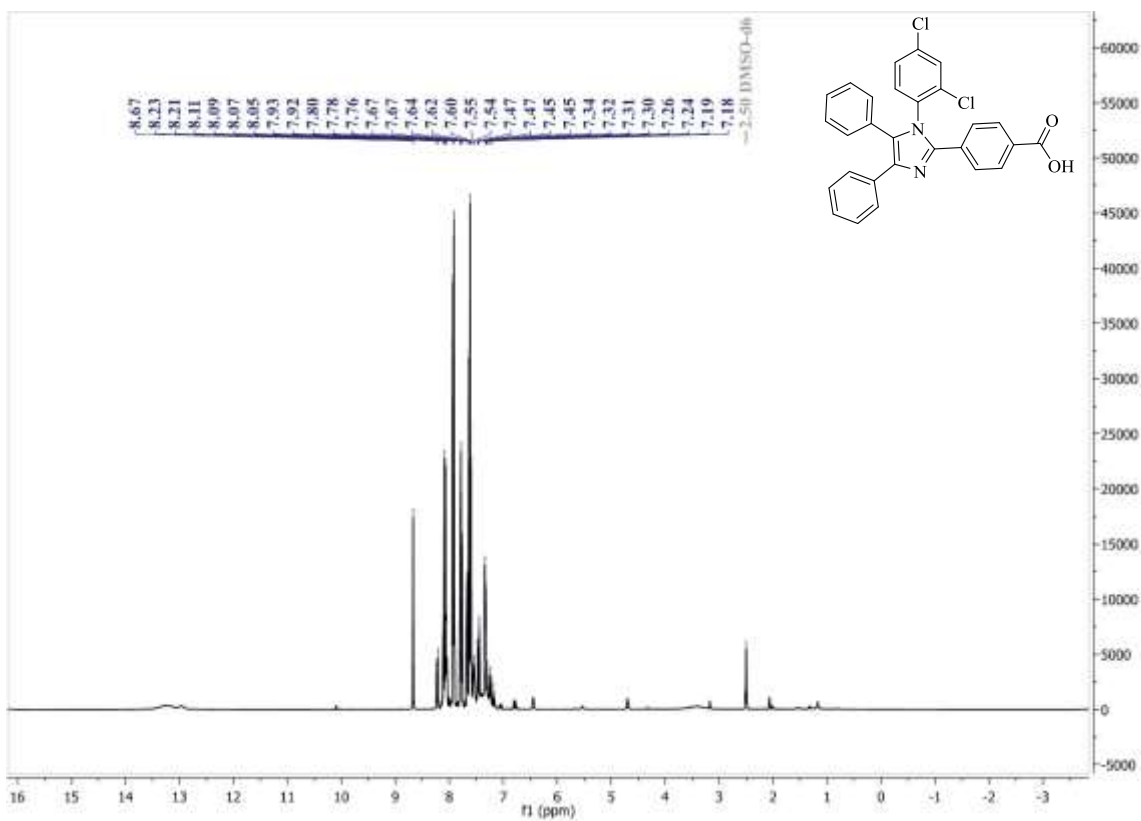


Figure 3-12:  $^1\text{H}$  NMR spectrum of (PP6)

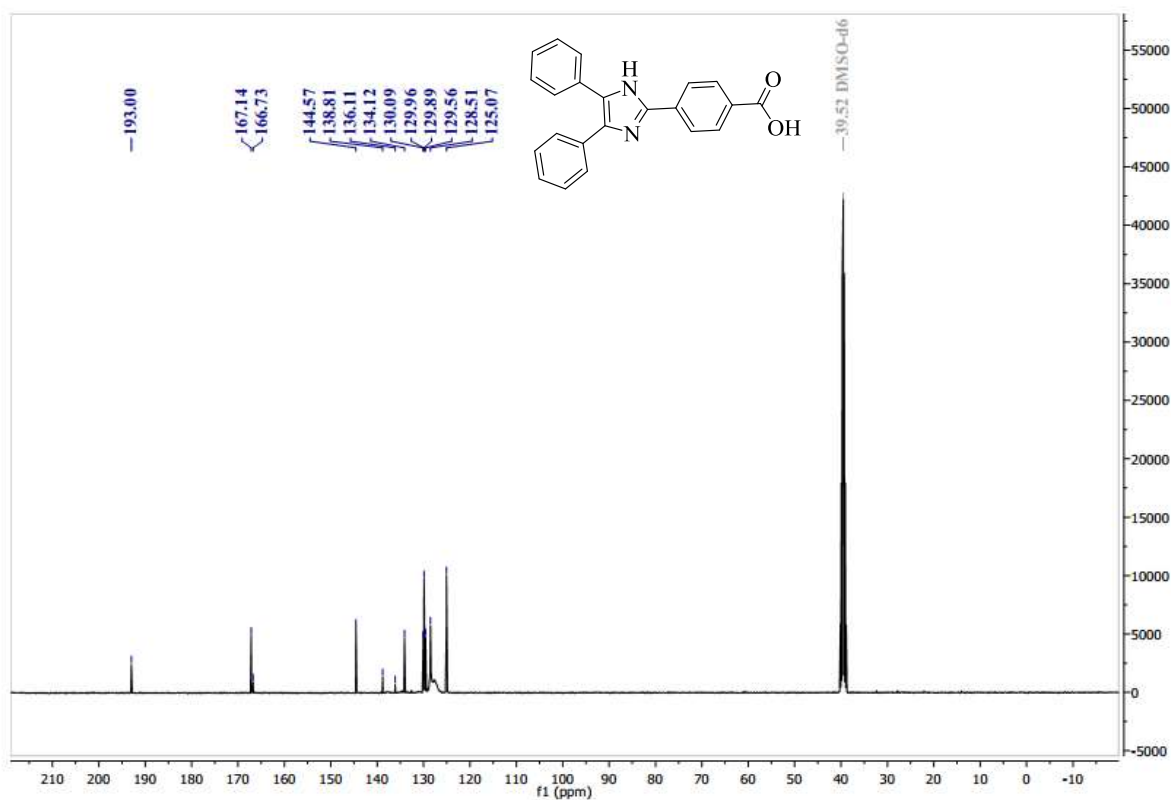


Figure 3-13:  $^{13}\text{C}$  NMR spectrum of (PP1)

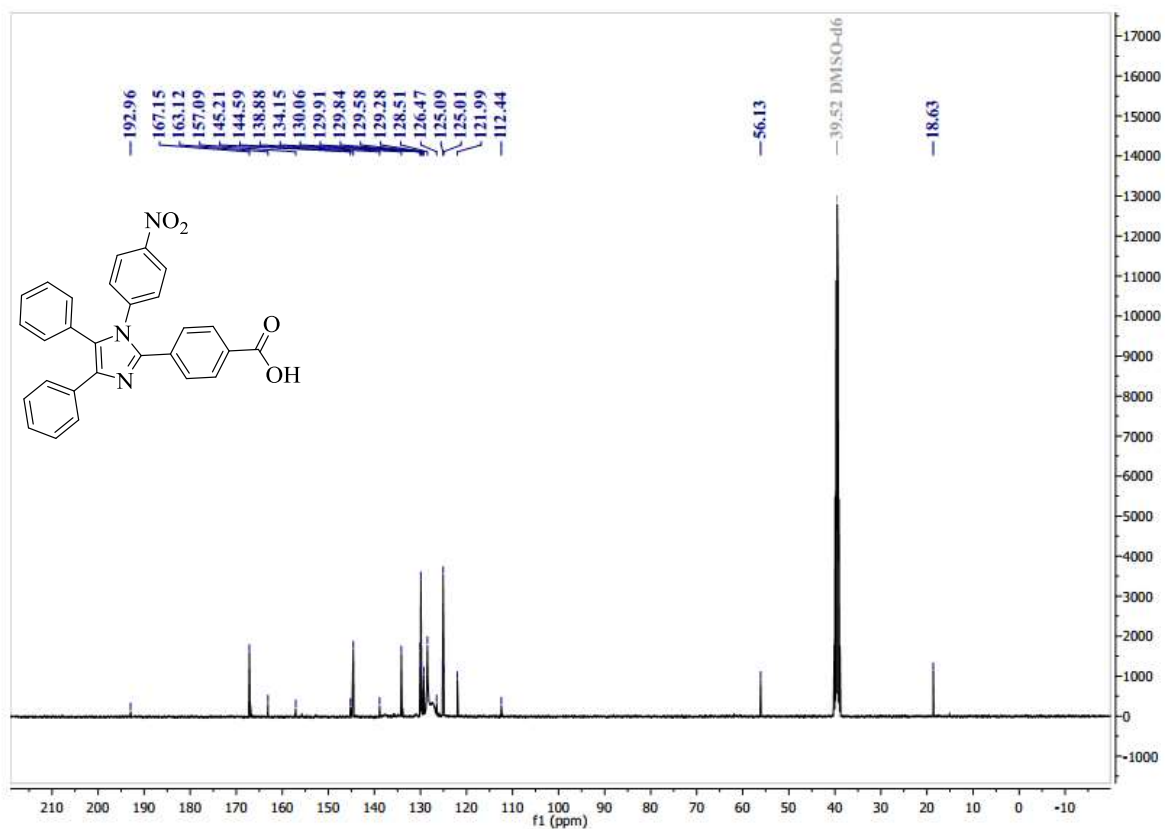


Figure 3-14:  $^{13}\text{C}$  NMR spectrum of (PP2)

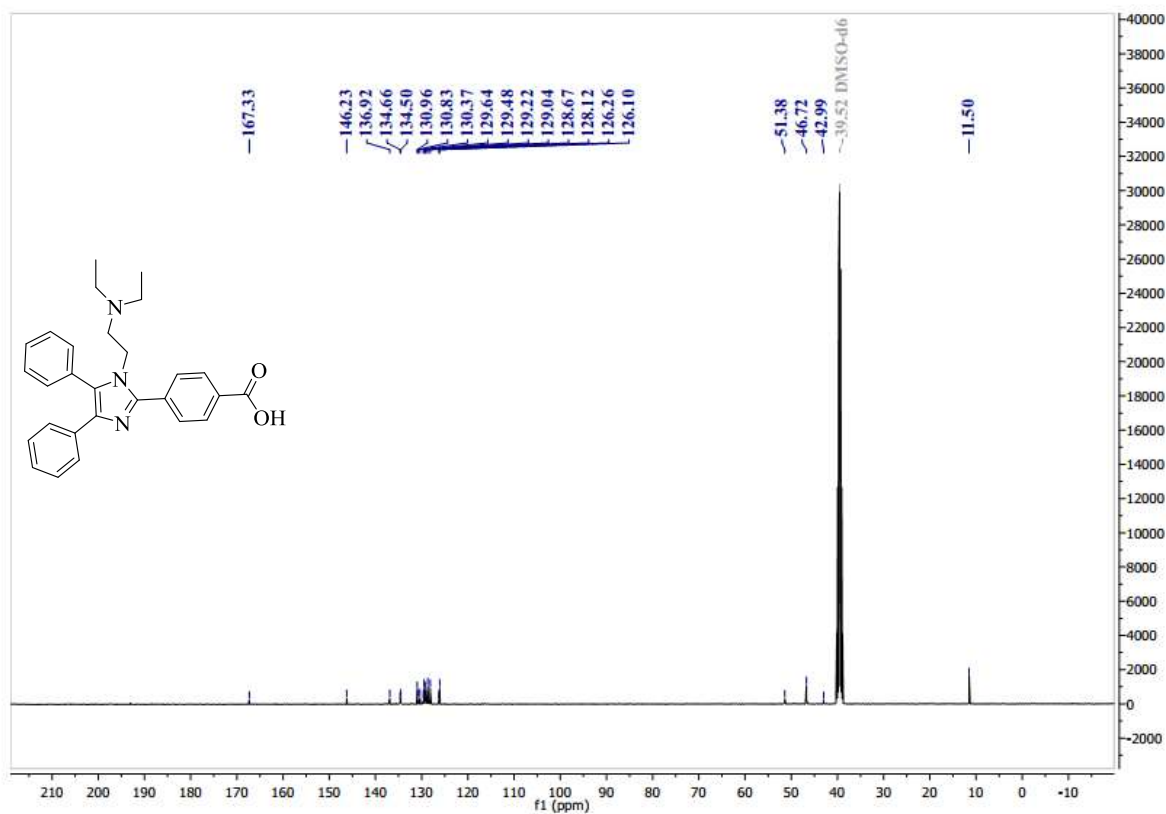


Figure 3-15:  $^{13}\text{C}$  NMR spectrum of (PP3)

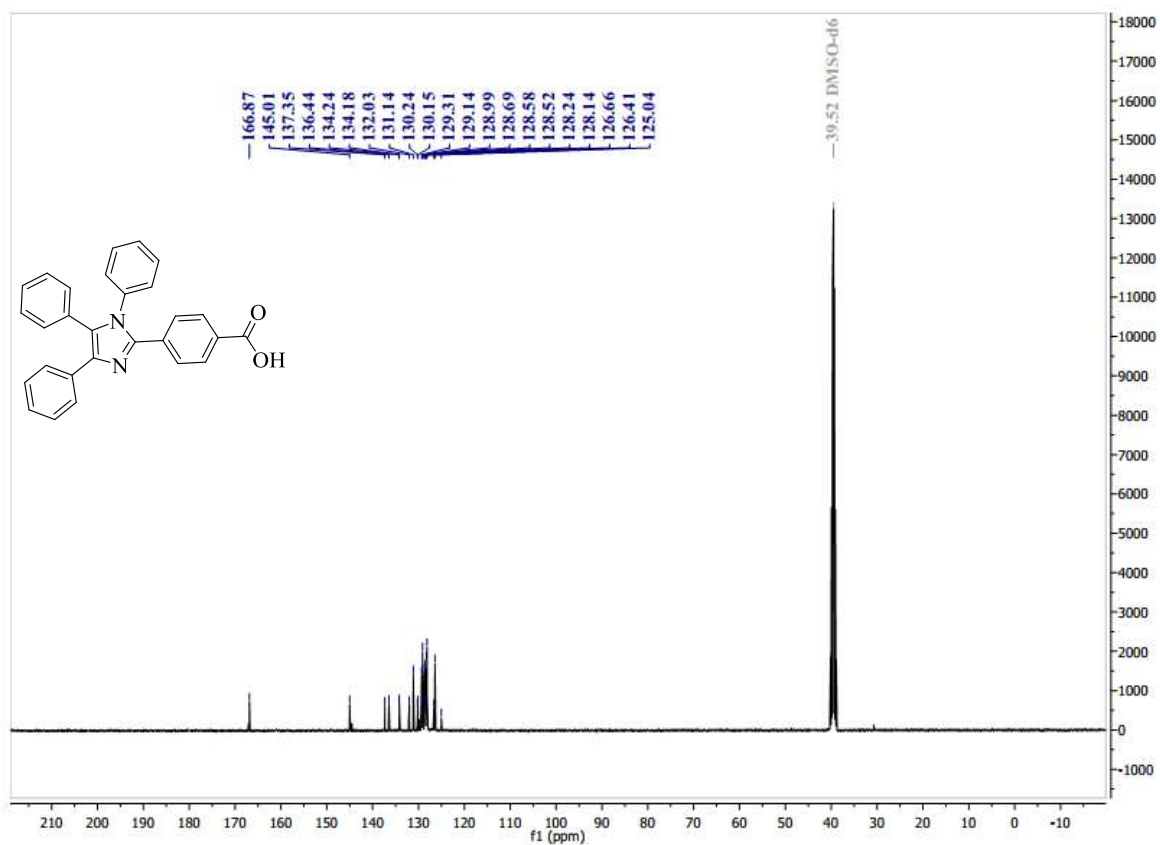


Figure 3-16:  $^{13}\text{C}$  NMR spectrum of (PP4)

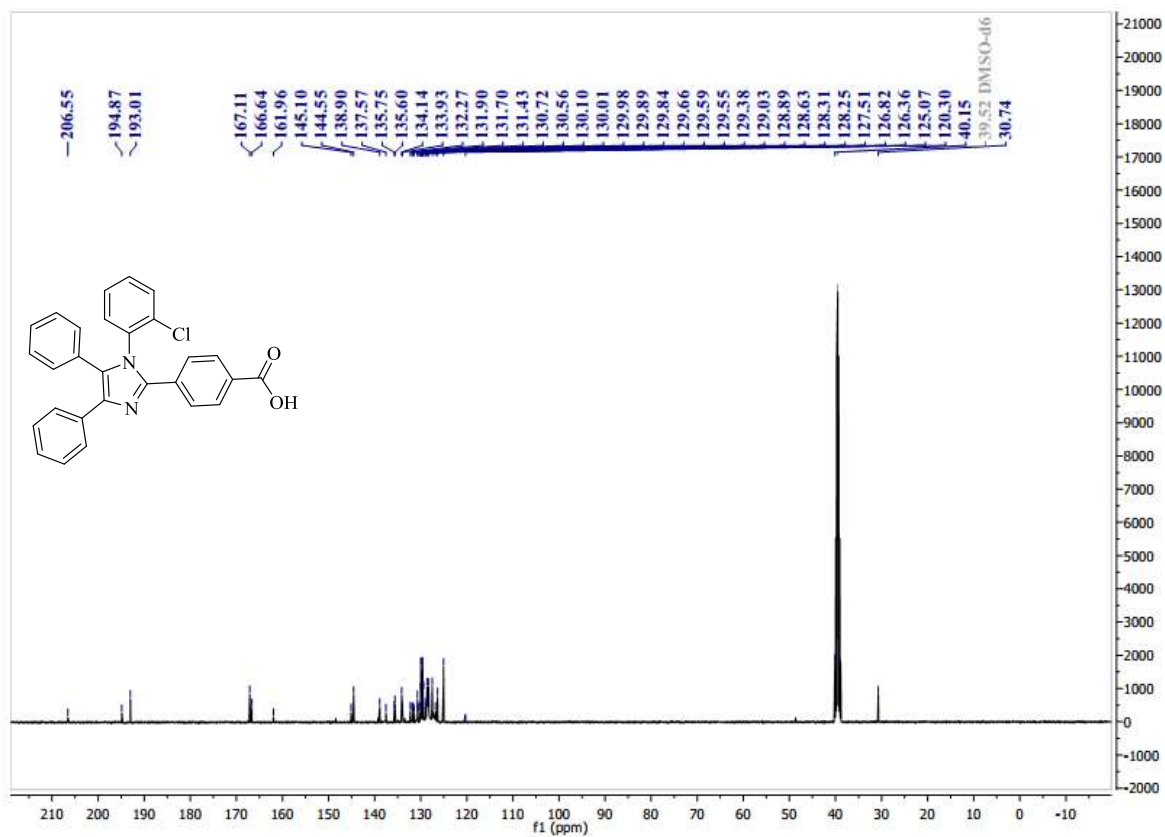


Figure 3-17:  $^{13}\text{C}$  NMR spectrum of (PP5)



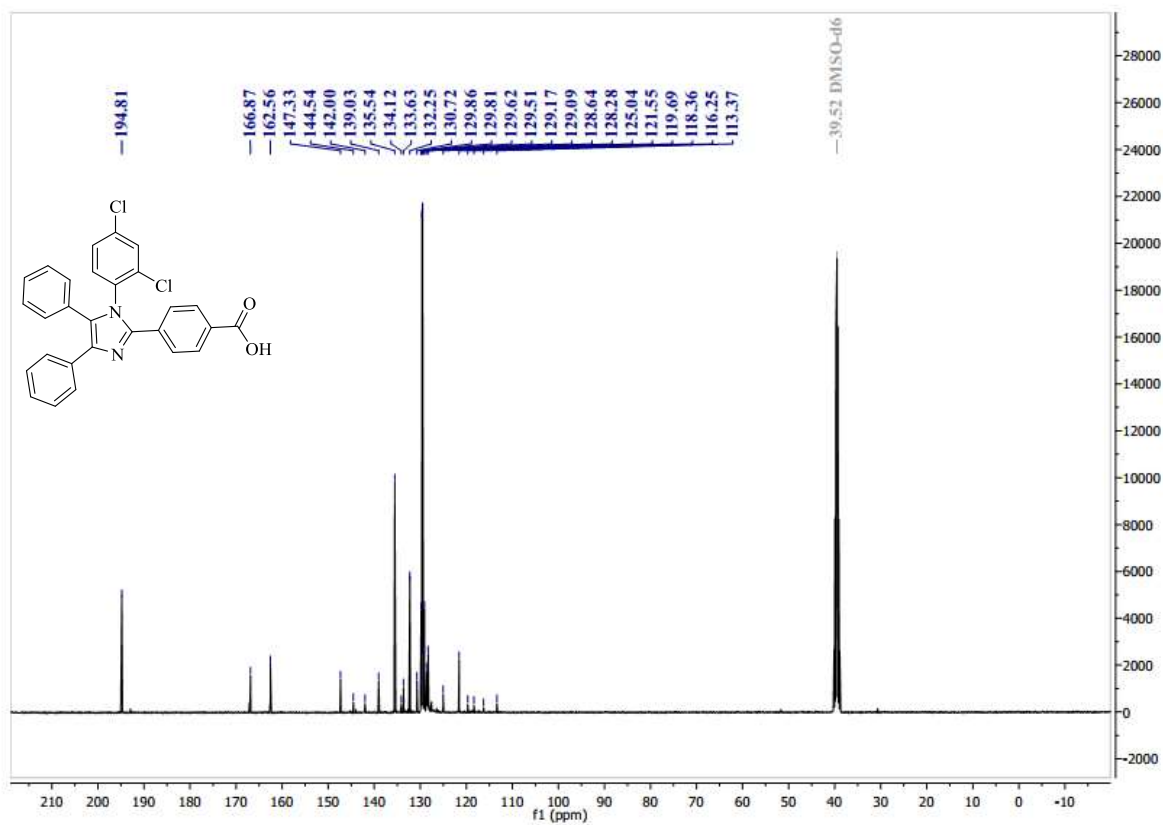


Figure 3-18:  $^{13}\text{C}$  NMR spectrum of (PP6)

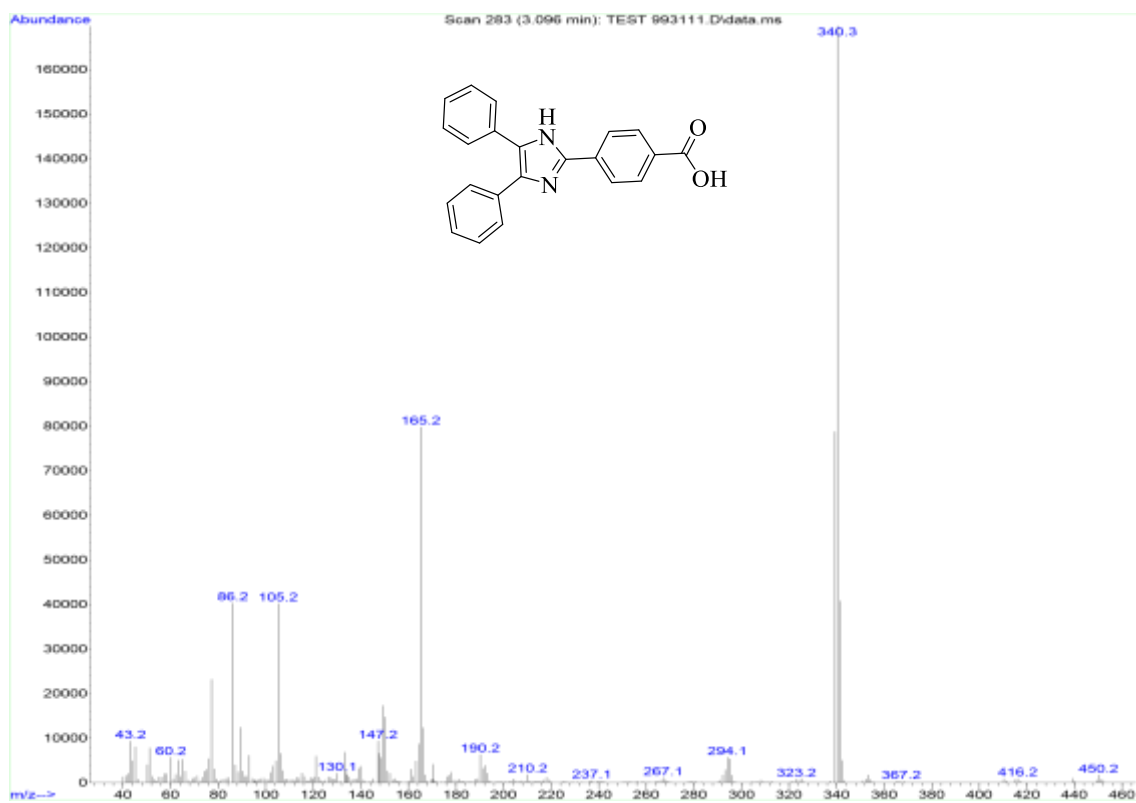


Figure 3-19: Mass spectrum of (PP1)

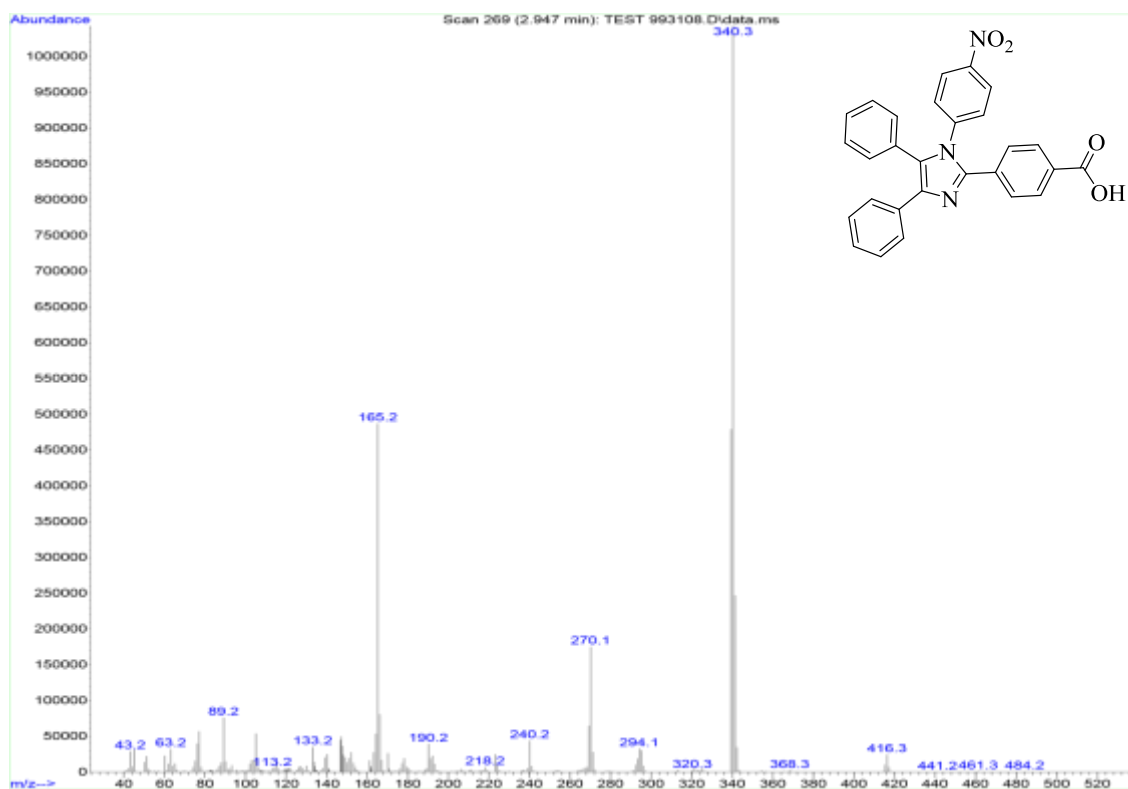


Figure 3-20: Mass spectrum of (PP2)

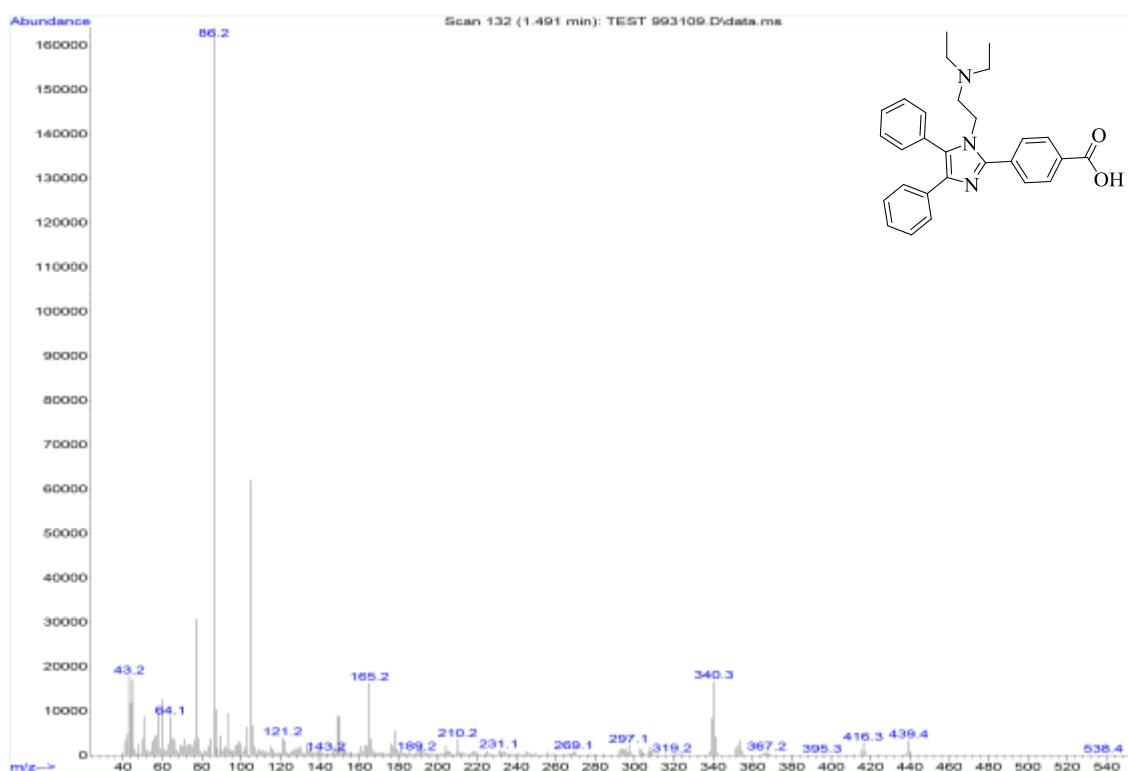


Figure 3-21: Mass spectrum of (PP3)

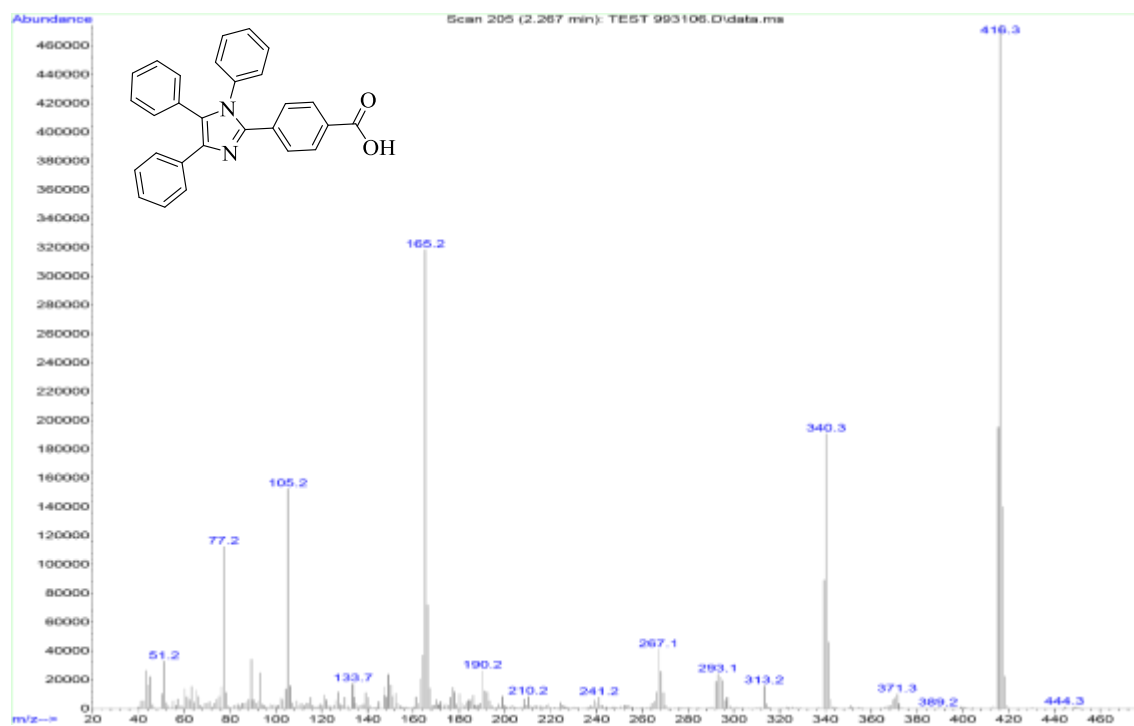


Figure 3-22: Mass spectrum of (PP4)

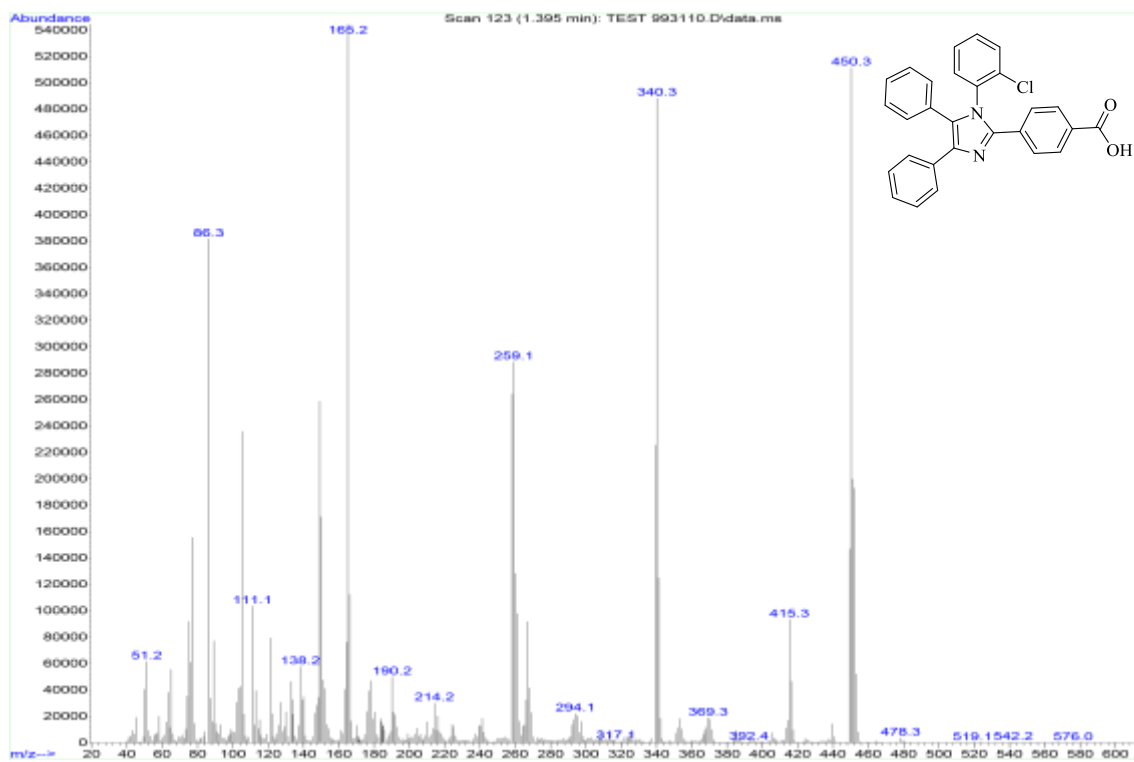
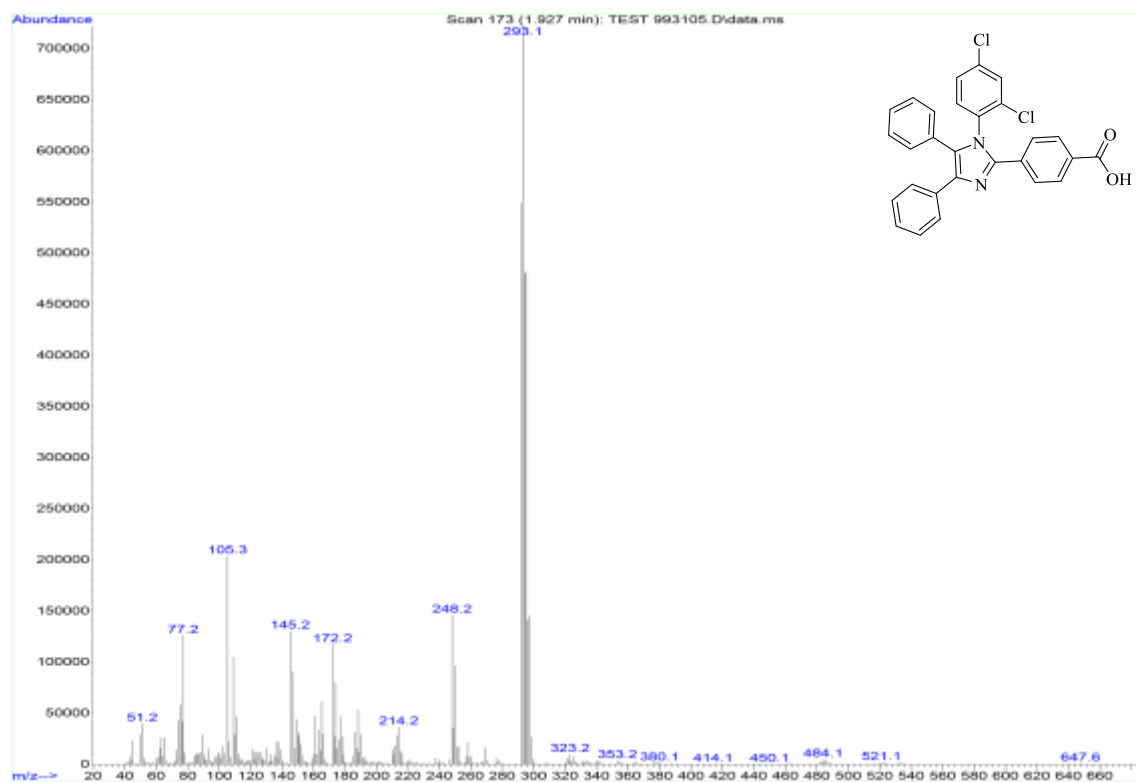
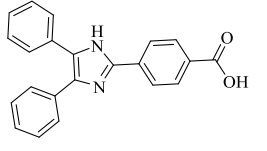
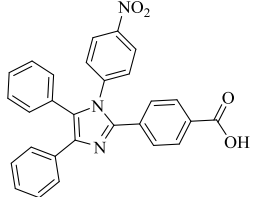
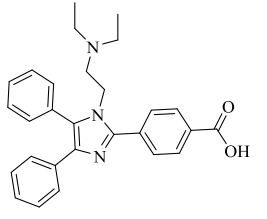
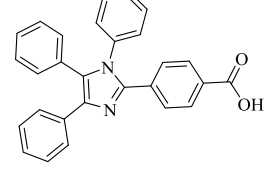
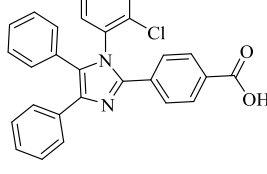
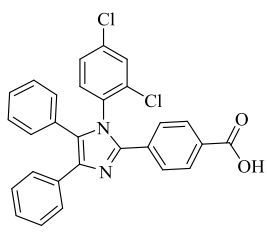


Figure 3-23: Mass spectrum of (PP5)



**Figure 3-24:** Mass spectrum of (PP6)

**Table (3-1):** Some of physical properties of the synthesized dyes and mass data

| Dyes | Structure   | Name  | Molecular formula   | M. Wt. (g/mol) | m.p. (°C) | Yield (%) | Mass Data (m/z) [M <sup>+</sup> ] |
|------|---|---|---|----------------|-----------|-----------|-----------------------------------|
| PP1  |    | 4-(4,5-diphenyl-1H-imidazol-2-yl)benzoic acid                           | C <sub>22</sub> H <sub>16</sub> N <sub>2</sub> O <sub>2</sub>                 | 340.12         | 264-266   | 76        | 340.3<br>Figure (3-19)            |
| PP2  |    | 4-(1-(4-nitrophenyl)-4,5-diphenyl-1H-imidazol-2-yl)benzoic acid         | C <sub>28</sub> H <sub>19</sub> N <sub>3</sub> O <sub>4</sub>                 | 461.13         | 269       | 70        | 461.3<br>Figure (3-20)            |
| PP3  |   | 4-(1-(2-(diethylamino)ethyl)-4,5-diphenyl-1H-imidazol-2-yl)benzoic acid | C <sub>28</sub> H <sub>29</sub> N <sub>3</sub> O <sub>2</sub>                 | 439.22         | 216-217   | 68        | 439.4<br>Figure (3-21)            |
| PP4  |  | 4-(1,4,5-triphenyl-1H-imidazol-2-yl)benzoic acid                        | C <sub>28</sub> H <sub>20</sub> N <sub>2</sub> O <sub>2</sub>                 | 416.15         | 250-252   | 71.5      | 416.3<br>Figure (3-22)            |
| PP5  |  | 4-(1-(2-chlorophenyl)-4,5-diphenyl-1H-imidazol-2-yl)benzoic acid        | C <sub>28</sub> H <sub>19</sub> ClN <sub>2</sub> O <sub>2</sub>               | 450.11         | 220-222   | 77        | 450.3<br>Figure (3-23)            |
| PP6  |  | 4-(1-(2,4-dichlorophenyl)-4,5-diphenyl-1H-imidazol-2-yl)benzoic acid    | C <sub>28</sub> H <sub>18</sub> Cl <sub>2</sub> N <sub>2</sub> O <sub>2</sub> | 484.07         | 234-235   | 73        | 484.1<br>Figure (3-24)            |

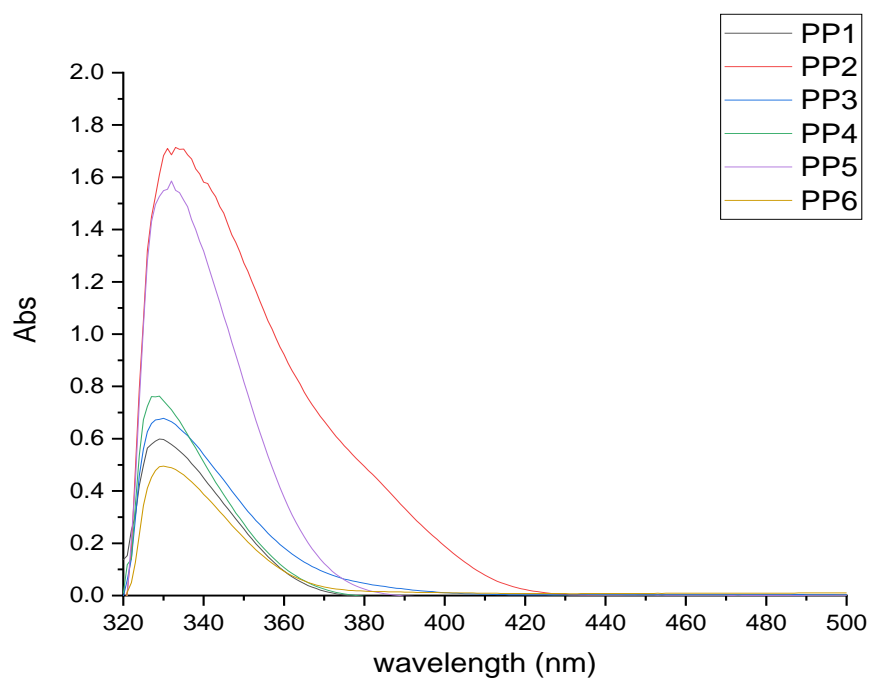
### 3.3 Optical Properties

The UV–visible absorption spectra of the dyes PP1, PP2, PP3, PP4, PP5, and PP6 appeared between 329 and 335 nm (Fig.3-25) in EtOH as the solvent. These bands are a result of the donor segment's confined (high energy) aromatic  $\pi$ - $\pi^*$  transition and intramolecular charge transfer (ICT) electronic transitions [73-75]. These absorption bands are a result of the imidazole moiety being introduced into the phenyl core. The charge transfer is thought to originate from the donor 4,5-diphenyl-1*H*-imidazole to the acceptor benzoic acid [76-78]. Notably, the dye PP2 has a significantly higher molar extinction coefficient ( $17200 \text{ M}^{-1} \text{ cm}^{-1}$ ) and a stronger absorption band than other dyes, indicating that it is an excellent light harvester. This result could be attributed to the imidazole and nitrobenzene donating and accepting groups respectively, and (table 3-2) is shown the optical properties and simulation energy for all synthesized dyes. The optical energy gap ( $E_{g, \text{opt}}$ ) was essentially calculated using the Einstein-Planck equation (equation 1) [79,80], and PP2 had the smallest optical energy gap (2.96 eV) if compared to other dyes, indicating that it has a better electron transition from HOMO to LUMO than other dyes.

$$E_{g \text{ opt}} = \frac{1240}{\lambda_{\text{onset}}} \dots\dots\dots(1)$$

Where  $\lambda_{\text{onset}}$  is the onset of absorption spectra on the low energy side.

$1240 = hc$  where  $h = 4.136 \times 10^{-15} \text{ eV}\cdot\text{s}$  is the Planck's constant and  $c = 3 \times 10^{17} \text{ nm}\cdot\text{s}^{-1}$  is the speed of light.



**Figure 3-25:** The absorption spectra of PP1, PP2, PP3, PP4, PP5 and PP6 dyes (EtOH  $1 \times 10^{-4}$  M)

**Table 3-2:** The optical properties and simulation energy for all synthesized dyes.

| Dye | $\lambda_{\max}/\text{nm}$ | $\epsilon/\text{M}^{-1}\text{cm}^{-1\text{a}}$ | $E_{\text{g,opt}}/\text{eV}$ | HOMO/eV <sup>b</sup> | LUMO/eV <sup>b</sup> | $E_{\text{g}}/\text{eV}^{\text{b}}$ |
|-----|----------------------------|--|------------------------------|----------------------|----------------------|-------------------------------------|
| PP1 | 329                        | 6000   | 3.35                         | -5.77                | -2.53                | 3.24                                |
| PP2 | 333                        | 17200  | 2.96                         | -6.05                | -3.46                | 2.58                                |
| PP3 | 330                        | 6400   | 3.18                         | -5.72                | -2.43                | 3.29                                |
| PP4 | 329                        | 7900   | 3.36                         | -5.74                | -2.48                | 3.26                                |
| PP5 | 332                        | 16000  | 3.32                         | -5.78                | -2.01                | 3.77                                |
| PP6 | 330                        | 5000   | 3.31                         | -5.90                | -2.12                | 3.78                                |

<sup>a</sup> Extinction coefficient (given from  $A = \epsilon cl$ )

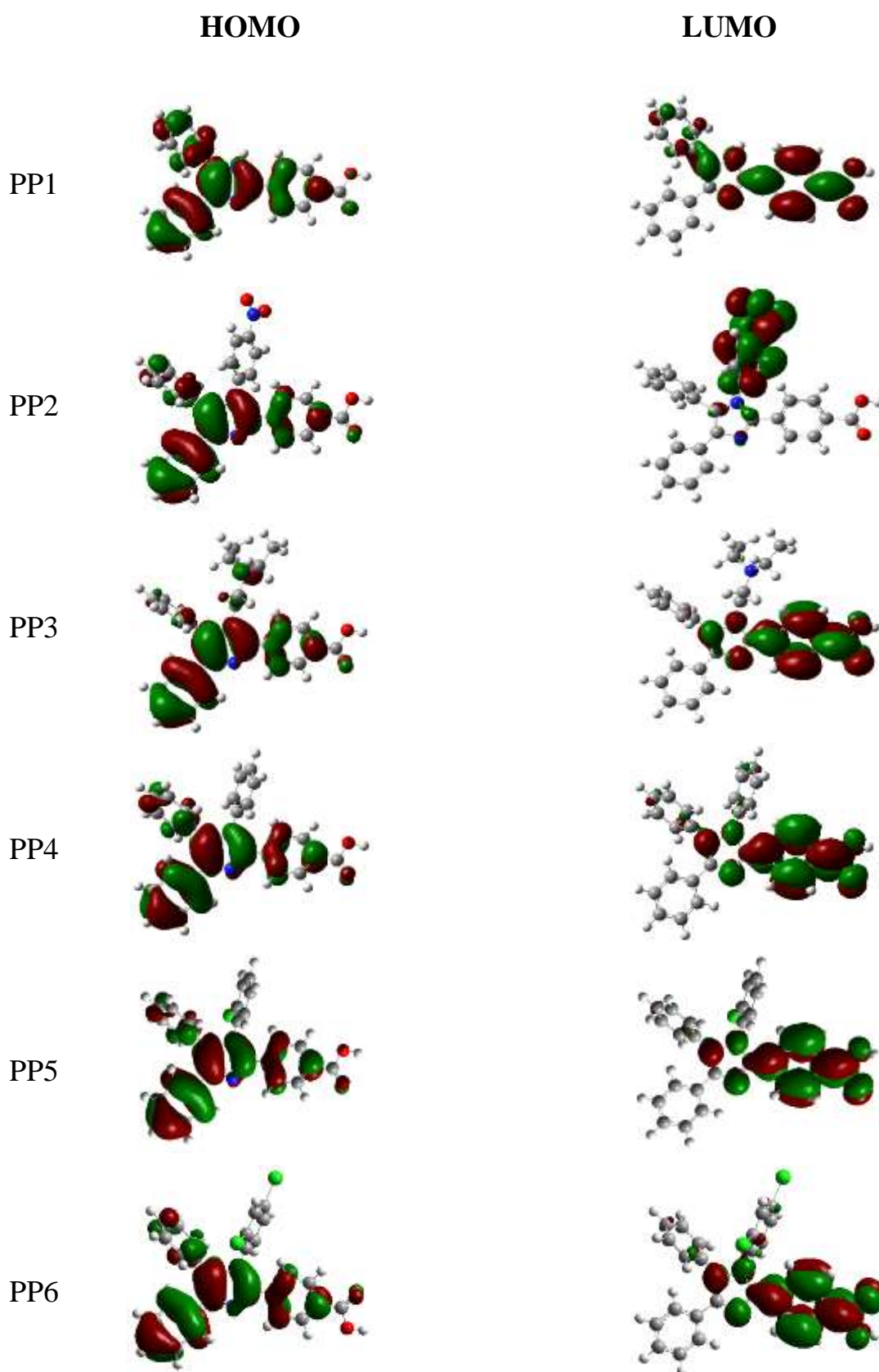
<sup>b</sup> Values obtained by computational study (DFT calculation)

### 3.4 Computational Studies

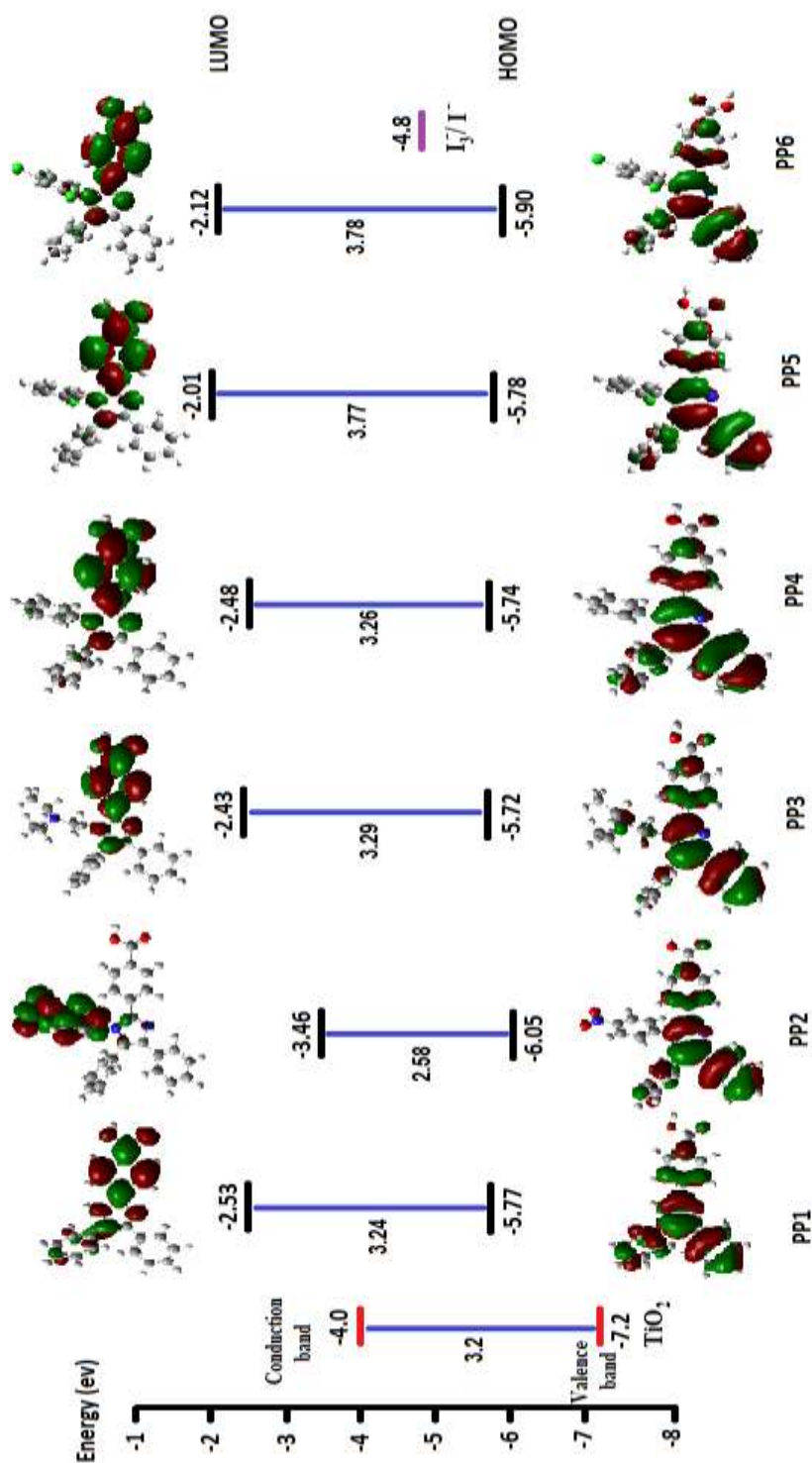
For optimization geometry and frequency calculations, all dyes are calculated in vacuum using the 6-311G\* basis set. In all computational calculations, there are no created imaginary frequencies which were indicating that the optimized structures are energy minima. The electron distributions of the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO) in the molecule are displayed to illustrate the electron localization between donor and acceptor portions. As illustrated in (Fig. 3-26), the HOMOs in all dyes are predominantly located in the auxiliary donor area (phenyl-imidazole unit), whereas the LUMOs are located in the nitrobenzene for PP2 and the acceptor area (benzoic acid) for PP1, PP3, PP4, PP5, and PP6 dyes, which may result in the highest electron injection efficiency between the TiO<sub>2</sub> surface and the dye [81-84].

The computed HOMO ( $E_{\text{HOMO}}$ ), LUMO ( $E_{\text{LUMO}}$ ), and  $E_g$  energies for each of the six dyes are given in (Table 3-2). The energy level diagrams of all six dyes, as well as the conduction band (CB) energy level of TiO<sub>2</sub>, and the redox potential energy of the I<sub>3</sub><sup>-</sup>/I<sup>-</sup> redox electrolyte (4.8 eV), are shown in (Fig. 3-27). All six dyes have LUMOs with energies greater than the TiO<sub>2</sub> CB (4.0 eV), resulting in effective electron injection from the dye's LUMO into the TiO<sub>2</sub> CB. Similarly, the energy of all five dyes' HOMOs is lower than the energy level of the I<sub>3</sub><sup>-</sup>/I<sup>-</sup> redox electrolyte [85].





**Figure 3-26:** HOMOs and LUMOs for all dyes, predicted by DFT calculation.



**Figure 3-27:** The energy level diagram of six dyes with CB of  $TiO_2$  and redox potential energy of  $I_3^-/I^-$

### 3.5 DSSCs test

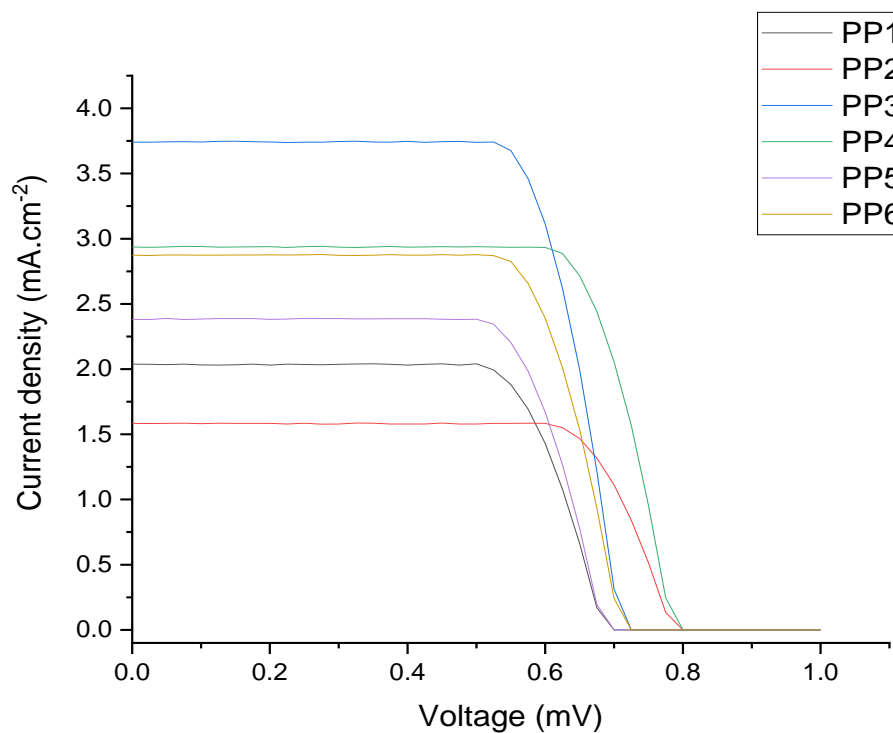
The open-circuit voltage ( $V_{oc}$ ) and short circuit current ( $J_{sc}$ ) were calculated from the  $J$ - $V$  curve. The fill factor (FF) and efficiency of the solar cells were determined using the following equations[86-88]:

$$FF = \frac{J_{max} V_{max}}{J_{sc} V_{oc}} \dots\dots\dots (2)$$

$$\eta = \frac{J_{sc} V_{oc} FF}{I_0} \dots\dots\dots (3)$$

Where  $J_{max}$  is the maximum power point current,  $V_{max}$  is the maximum power point voltage of the solar cell, and  $I_0$  is the total incident irradiance.

The  $J$ - $V$  curves for all dyes are depicted in (Fig. 3-28), as are the results for  $J_{sc}$ ,  $V_{oc}$ , FF, and PCE (Table 3-3). In comparison to other dyes, PP3 dye had the highest PCE, which might be related to its solubility due to its alkyl chain bonding with the N of the imidazole ring, which prevents the dye from aggregating on the surface of  $TiO_2$ . While PP2 had the lowest PCE, this could be owing to the electron distribution of LUMO being concentrated on nitrobenzene rather than benzoic acid, which prevents an electron from the dye from being injected into the  $TiO_2$ . When compared to PP1, PP3, PP4, PP5, and PP6 compounds, the PP2 dye displayed the lowest PCE and  $J_{sc}$  values, which might be attributable to the dye's short electron lifetime and separation of the LUMO from the acceptor group.



**Figure 3-28:** *J-V* curve of DSSCs sensitized by PP1, PP2, PP3, PP4, PP5 and PP6

**Table 3-3:** Photovoltaic parameters for all dyes under standard condition.

| <b>Dye</b> | <b><i>J</i><sub>sc</sub> (mA.cm<sup>-2</sup>)</b> | <b><i>V</i><sub>oc</sub> (mV)</b> | <b><i>FF</i> (%)</b> | <b>PCE (%)</b> |
|------------|---|-----------------------------------|----------------------|----------------|
| <b>PP1</b> | 2.03  | 0.700                             | 73.6                 | 1.05           |
| <b>PP2</b> | 1.59  | 0.800                             | 61.6                 | 0.960          |
| <b>PP3</b> | 3.75  | 0.730                             | 73.9                 | 2.01           |
| <b>PP4</b> | 2.91  | 0.790                             | 76.7                 | 1.78           |
| <b>PP5</b> | 2.39  | 0.690                             | 73.7                 | 1.46           |
| <b>PP6</b> | 2.39  | 0.690                             | 73.3                 | 1.55           |

### 3.6 Conclusion

- 1) A series of six organic dyes based on an imidazole ring was designed and synthesized as a donor,  $\pi$ -bridge, and acceptor groups to apply in DSSCs.
- 2) Investigate the efficiency of the solar cells by using different types of substitutions group on imidazole ring and these groups have enhanced the solubility by minimizing the aggregation of the dye.
- 3) PP2 has the smallest optical energy gap (2.96 eV) when compared to other dyes, higher molar extinction coefficient ( $17200 \text{ M}^{-1} \text{ cm}^{-1}$ ) and a stronger absorption band than other dyes
- 4) The PP2 dye has the lowest energy gap (2.55 eV) and lowest PCE value 0.96% ( $J_{sc} = 1.59 \text{ mA cm}^{-2}$ ,  $V_{oc} = 0.080 \text{ mV}$ ,  $\text{FF} = 61.6\%$ ), and that could be attributed to the electron distribution on LUMO which is delocalized on the nitrobenzene instead of benzoic acid part and that is effective to the efficient of the electron injection from the dye to the surface of  $\text{TiO}_2$ .
- 5) The PP3 has the best efficiency of 2.01% ( $J_{sc} = 3.75 \text{ mA cm}^{-2}$ ,  $V_{oc} = 0.73 \text{ mV}$ ,  $\text{FF} = 73.9\%$ ) and that could be attributed to the excellent solubility of the dye and good electron distribution of HOMO and LUMO.

### 3.7 Future Works

- 1) Synthesis new imidazole derivatives dyes with new substituents groups as a donor and acceptor.
- 2) Supporting the experimental part with a theoretical study to know the appropriate dyes for application in dye-sensitized solar cells.

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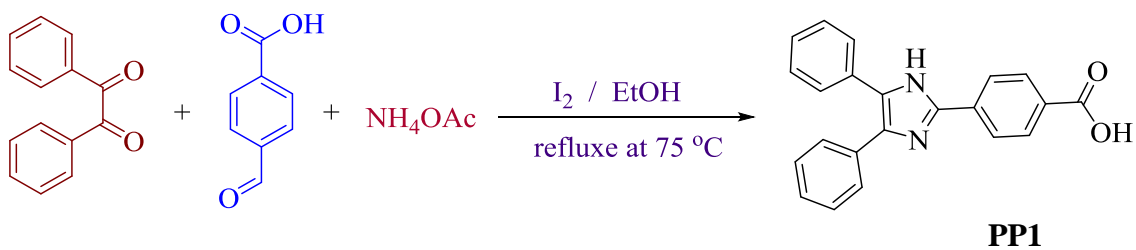


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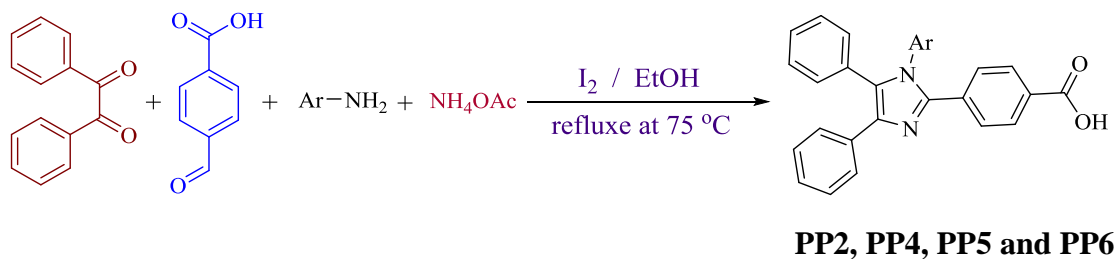
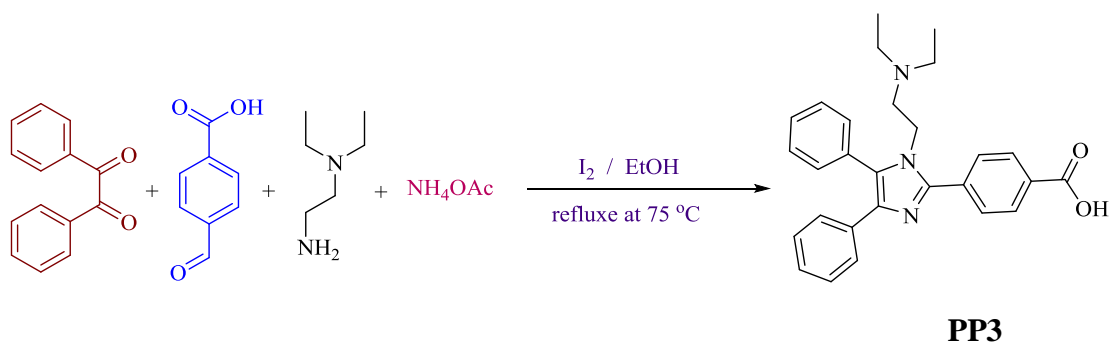
## الخلاصة

في هذا العمل، تم تحضير ست صبغات عضوية خالية من المعادن كنظام مانح- جسر - مستقبل في تفاعل تكثيف أحادي الوعاء واستخدمت كمحسس في الخلايا الشمسية المتحسسة للصبغة ((DSSCs. الأصباغ التي تم تسجيلها هي من مشتقات الإيميدازول وهي من نوعين. النوع الأول عبارة عن مشتقات إيميدازول ثلاثية التعويض في المواقع 2,4,5 (المخطط الأول) والنوع الثاني من الأصباغ هو مشتقات إيميدازول رباعية التعويض في المواقع 1,2,4,5 (المخطط الثاني).

النوع الأول هو PP1 والنوع الثاني يمثل خمسة أنواع مختلفة من الاستبدال (نيتروبنزين PP2،  $N,N$ -ثنائي اثيل بروبان-1-امين PP3، فنيل PP4، كلوروبنزين PP5 وثنائي كلورو بنزين PP6) في موضع- NH على حلقة إيميدازول للتحقق من تأثير هذه المجموع في كفاءة DSSCs. تم اختيار وحدتي البنزين والإيميدازول كمجموعات مانحة، لخاصية التبرع بالإلكترون ولتجنب تراكم الصبغة على سطح  $TiO_2$ . في الأساس، تم استخدام وحدات إيميدازول 2,4,5- trisubstituted لدراسة المقارنة لأداء المحسّسات في الأجهزة النهائية. تم اختيار حلقة البنزين كجسر وتم اختيار حامض الكربوكسيل كمجموعة مستقبلية ومرتبطة على سطح  $TiO_2$ . شُخصت هذه الأصباغ بتقنية ال- FTIR،  $^1H$ -NMR،  $^{13}C$ -NMR و Mass spectra ومدعومة بالحسابات النظرية. أظهرت الصبغة PP3 مع استبدال سلسلة الألكيل أعلى كفاءة تحويل للطاقة (PCE) بنسبة 2.01% ( $J_{sc} = 3.75$  مللي أمبير سم<sup>-2</sup>،  $V_{oc} = 0.73$  مللي فولت،  $FF = 73.9\%$ ) بينما أظهرت صبغة PP2 باستبدال النيتروبنزين أقلها فجوة الطاقة (2.55 eV) وأدنى PCE 0.96% ( $J_{sc} = 1.59$  مللي أمبير سم<sup>-2</sup>،  $V_{oc} = 0.080$  مللي فولت،  $FF = 61.6\%$ ).



**المخطط الأول:** تحضير مشتقات الازيميدازول ثلاثية التعويض في المواقع 2,4,5



Where **Ar** = (PP2), (PP4), (PP5), (PP6)

**المخطط الثاني:** تحضير مشتقات الازيميدازول رباعية التعويض في المواقع 1,2,4,5



جامعة كربلاء  
كلية العلوم  
قسم الكيمياء

## تحضير ودراسة نظرية لمشتقات صبغات الايميدازول الجديدة وتطبيقها في الخلايا الشمسية المحفزة بالصبغة

رسالة مقدمة الى  
مجلس كلية العلوم – جامعة كربلاء  
كجزء من متطلبات نيل درجة الماجستير  
علوم في الكيمياء

تقدم بها

سيف الدين فاهم عبد الحسين

اشراف

أ.م.د. سيف الدين موفق عبد الهادي

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